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STATE OF INDIANA

) SS:

COUNTY OF DELAWARE

IN THE DELAWARE COUNTY SUPERIOR COURT

CRAIG DUNN and PHILIP WILEY, )
et al.,

Plaintiffs,

-v-

) CAUSE NO.

18D01-9305-CT-06

RJR NABISCO HOLDINGS

CORPORATIONS, et al.,

Defendants.
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The deposition upon oral examination of THOMAS A. KOCOSHIS, M.D., a witness produced and sworn before me, Thomas A. Richardson, RDR-CM, Notary Public in and for the County of Marion, State of Indiana, taken on behalf of the defendants at the offices of Medical Consultants, 2525 University Avenue, Muncie, Indiana 47303, on November 19, 1997, at 1:30 p.m. pursuant to the Indiana Rules of Trial Procedure.

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		3
1	INDEX OF EXAMINATION	
2		Page
3	DIRECT EXAMINATION Questions By: Mr. Ohlemeyer	4
4	DIRECT EXAMINATION (continuing) Questions By: Mr. Wagner	133
5 6	DIRECT EXAMINATION (continuing) Questions By: Mr. Ohlemeyer	190
7	CROSS-EXAMINATION Questions By: Mr. Young	190
8	REDIRECT EXAMINATION Questions By: Mr. Wagner	191
10		
11	INDEX OF DEFENDANTS' EXHIBITS	
12	Defendant's Exhibit(s) 1 & 2	Page 29
13	Defendant's Exhibit(s) 3 Defendant's Exhibit(s) 4	101 156
14	Defendant's Exhibit(s) 5	192
15		
16		
17		
18		
19		
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THOMAS A. KOCOSHIS, M.D.

having been first duly sworn to tell the truth, the whole truth, and nothing but the truth took the stand and testified as follows:

DIRECT EXAMINATION

BY MR. OHLEMEYER:

Q Good afternoon, Doctor. My name is Bill
Ohlemeyer. I represent a number of the
defendants in this lawsuit.

And will you let me know if you don't understand a question I ask you?

- A Yes, sir.
- Q This ought to move along pretty quickly.

 But at any time you want to take a break for any reason, will you let us know?
- A Yes, sir.
- Q Can you describe for us the material that's in front of you that you brought?
- A Yes, sir. I have a copy of Dr. Roggli's deposition.
- O In this case?
- A Yes, sir. Do you wish to see it?
- 23 Q Yes.
 - A I have a copy of what appears to be a chapter of a book co-authored by Dr. Roggli.

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6		the fact I'm
7		talking.
8	A	I have my C.V
9		individual do
10	Q	I'll tell you
11		folder, let m
12		you. We have
13		tecum for too
14	A	Correct.
15	Q	We have a let

)	And that would be Chapter 7 of Pa	athology of
	Asbestos-Related Diseases by Gree	enberg,
	Pratt, and Roggli?	

- A That is correct. Shall I continue?
- Q Please. By the way, I mean no disrespect by the fact I'm looking at this while you are talking.
- A I have my C.V. Do you want me to list each individual document?
- I'll tell you what, if you will hand me that folder, let me just try to describe them for you. We have a deposition subpoena duces tecum for today's deposition, right?
- Q We have a letter from Attorney Paul Bokota to you about the deposition.

We have the Notice of the deposition.

We have an April 27, 1995 letter from

Robert A. Cash & Associates to Pathology

Associated Medical Labs which appears to be an inventory. Is that how you would describe this?

- A Yes, sir.
- Q We have an authorization and a designation of agent.

We have a pathology report dated 6-1-1991 from Pathologists Associated Medical Laboratories at Ball Memorial, signed by Dr. Brown, right? Α That's correct. 6 Q We have a 6-6-91 pathology report signed by

> Α That is correct.

Dr. Baldwin?

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- We have a 6-7-91 report of a cytology 0 specimen from Dr. Baldwin; is that right?
- Α That is correct.
- We have a 6-12-91 pathology report and Q pathologic diagnosis from Dr. Baldwin?
- That is correct. Α
- Q We have a couple of cytology records pertaining to Mrs. Wiley for specimens collected 6-6 and 6-8. Or is that 6-10? No, 6-18. One is collected on 6-18 and signed 6-19, negative sputum sample; is that right?
- Α That is correct.
- 0 And then the other three are dated 6-10, collected 6-6. And they are a right body fluid, a brushing, and a brushing?
- Α That is correct.

- Q Who signed this out; do you know? Can you read that signature?
- A That's Dr. Daniel House.
- Q And the referring physician being Dr. Turner?
- A That is correct.
- I have a September 14, 1993 typewritten note that says, "A set of slides on autopsy #32-91, Mildred Wiley, was released to Dr. N.C. Turner on September 14, 1993, for delivery to Young & Riley, Attorneys at Law. The release from the patient's family is filed with the autopsy. Dr. Douglas W. Shevlin reviewed the slides before release;" is that correct?
- A Yes.
- Q Who is Dr. Shevlin?
- A Dr. Shevlin is a pathologist who is now practicing in Springfield, Illinois, and at that time was a pathology resident here.
- Q Here at?
- A Ball Hospital.
 - Q I have a fax cover sheet dated April 29, '93 to you from Tom Young and a letter attached to it from Mr. Young to you dated

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- A Correct, of 1993.
- Q This letter describes a phone call you had with Attorney Young on that date. Do you recall that phone call?
- A I do not recall it distinctly, no.
- Q Do you recall your first conversation with any of the attorneys including Attorney
 Young who represent Mr. Wiley?
- A No, I do not, sir.
- Q Prior to the time you got this letter, did you have any discussion with Attorney Young about either Dr. Turner's opinion about the cause of Mrs. Wiley's cancer or about your opinion being consistent with her opinion?
- A I do not -- may I back up?
- Q Sure.
 - A Would you rephrase the question?
 - Q I'm trying to fix a chronology here.

 Describe for me any conversation you had with either Attorney Young, or any other attorney representing Mr. Wiley, or

 Dr. Turner about the cause of Mrs. Wiley's cancer prior to April 29, 1993.
 - A To the best of my recollection, I did speak

with Dr. Turner on or around the time of the autopsy. And she mentioned the fact that Mrs. Wiley had been exposed to secondhand smoke. I do recall that. I do not recall the details of my conversation, which obviously took place according to the letter, with Mr. Tom Young.

- Q Do you recall receiving in writing or otherwise from Dr. Turner an expression of opinion about the cause of Mrs. Wiley's cancer prior to the time you conducted the autopsy?
- A I have no such recollection.
- Q What about prior to the time you prepared and signed the autopsy report?
- A It is possible that this may have been written in the chart. But I do not recall receiving any written communication from Dr. Turner stating that she thought it was due to secondhand smoke.
- Q Do you remember her telling you that by telephone or otherwise?
- A I believe it was by telephone.
- Q And when do you think that was? Can you fix it for me in relation to either the autopsy

or the preparation and signing of the report?

- A I believe it was before the signing of the final report. I don't recall its exact occurrence in relation to the preliminary report. I suspect it was before, but my memory may be faulty in that regard.
- Q We will talk about that in a minute. Then we have an affidavit of authorization signed here by Mr. Wiley.

We have what looks to be a reference to a couple of pieces of medical literature dated May 3, 1993. Is this computer generated?

- A That is correct. That would have been generated by the staff of the medical library here.
- Q The handwritten note says, "Nicki, for your information. Thanks, Tom Kocoshis."

 Describe for me the significance of that handwritten note.
- A To the best of my recollection, Nicki and I may have had -- Dr. Turner and I may have had telephone conversations. And I asked that this search, literature search, be

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1		done. And I shared this information with
2		her.
3	Q	Then we have two draft copies of a July 26,
4	•	1995 letter to Daren Meredith at Robert Cash
5		& Associates?
6		MR. YOUNG: Sorry, the date of
7		those?
8	Q	July 26, 1995, prepared I guess by Nancy
9		Roderer.
10	A	That is correct.
11	Q	Tell me what you know, if anything, about
12		these letters.
13	A	If memory serves me correctly, these letters
14		were in response to this previous letter as
15		indicated on the drafts which you are
16		holding, trying to explain certain perceived
17		inconsistencies or omissions, et cetera.
18	Q	In what?
19	A	In the autopsy report.
20	Q	How was it that perception came to either
21	•.,	the attention of the pathology office or to
22		you?
23	A	Through this letter dated July 20th which

may be in that packet. I'm not sure.

We have a couple of phone messages.

is some other material here, a fax to Mr. Meredith from Nancy Roderer that contains a signed copy of that letter.

Do you know who made the changes to the letter?

- A That is my handwriting. Those are my corrections and so forth.
- Q And attached to that are a couple of phone messages -- Tom Young to you I take it on January 24th?
- A I don't know which year, but, yes.
- Q The message says, "11-24 letter to

 Dr. Turner, Re Mildred Wiley, 32-91," which

 I assume is the pathology session number or

 the autopsy report number?
- A Yes.

Q "Asbestos bodies - look for additional tissue? Found? Studies at Duke? Signed NR."

Do you know what that's all about?

A To the best of my recollection, Dr. Turner may have asked me to find what tissue to send to Duke for assay for asbestos bodies.

And again, to the best of my recollection, that tissue was not available at that time.

1	Q	!	Then	we '	've	got	a	1-25	mess	age	fo	r B	rad.	W	lho
2			is B:	rad	?										
3	A	. ;	Brad	is	Mr.	Bra	ıd	Slate	er.	Не	is	the	dier	ner	• ·

- A Brad is Mr. Brad Slater. He is the diener or the autopsy assistant.
- Q And you wanted him to check to see if there was any lung tissue available on that autopsy?
- A Yes, sir.

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- Q And Brad apparently says, "No," there isn't?
- A That is correct.
 - Q We've got a Pathologists Associated inventory again dated July 31, 1996.

We've got a set of medical authorization and designation of agent documents. And then we've got a copy of the autopsy report, right?

- A That is correct.
- Q And what's the date on this report, Doctor?
- A May I see it?
 - Q Yes. Let me ask if the date the autopsy was conducted was June 24, 1991?
- A That is correct.
- Q At 9:30 in the morning?
- 24 A Yes, sir.
 - Q Is there any way to know how long the

	1	
1		autopsy lasted from the report?
2	A	I don't believe so.
3	Q	Is there any way to know from your
4		recollection how long it lasted?
5	A	I would estimate between two and three
6		hours.
7	Q	What is the basis of that estimate?
8	A	Based upon previous autopsies and subsequent
9		autopsy.
10	Q	Do you have any specific recollection of
11		this autopsy?
12	A	No, sir, I do not.
13	Q	And as I understand the report, we have a
14		description of the Title of the Case. It
15		says, "Adenocarcinoma of the Lung"?
16	A	Yes, sir.
17	Q	Explain that for me. What does Title of the
18		Case mean and when and how do you designate
19		this as "Adenocarcinoma of the Lung"?
20	A	The Title of Case is the cause of death or
21		equals the cause of death.
22	Q	Do you have that information before you
23		start the autopsy or do you fill that in
24		after you do the autopsy?
25		I quess my question basically is: Is

that the presumptive cause of death or is that your diagnosis? And the reason I ask, there comes a point in the report where you give a diagnosis and a summary.

A That is correct.

MR. YOUNG: Well, you have several questions.

- Q I understand. Explain to me, Doctor, the process or the reasons why and when you put that information in here.
- A I issue a preliminary report which includes a cause of death. And based upon that preliminary report, the transcriptionist uses the cause of death as the Title of the Case. This preliminary report ideally is released within 48 to 72 hours after the performance of the autopsy.
- Q Okay. You see here under Clinical History where it describes the chronology of events leading up to Mrs. Wiley's death?
- A Yes.
- Q It says, "Diagnosis of adenocarcinoma of the lung was made."
- A That is correct.
- Q So before you started the autopsy, you had

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ı		the clinical history, including a diagnosi
2		of adenocarcinoma of the lung?
3	A	That is correct.
4	Q	From where did you obtain that diagnosis?
5	A	From the chart, from the reports, from the
6		surgical pathology and cytology reports
7		which were a part of the chart.
8	Q	So you have available to you the pathology
9		reports on the 24th?
10	A	That is correct.
11	Q	Including the ones we've talked about,
12		Dr. Baldwin's?
13	A	That is correct.
14	Q	And from that information, you conclude th
15		there's a diagnosis of adenocarcinoma of t

- lude that lung?
- That is correct. Α
- At that point, have you decided or concluded Q that was adenocarcinoma found in the lung or adenocarcinoma primary to the lung?
- Would you restate the question, please? Α
- By looking at the pathology reports, you can Q determine from what part of the body the specimen was obtained?
- Α That is correct.

- Q So at the point where you start the autopsy and dictate the clinical history or receive the clinical history and review it, have you concluded this was an adenocarcinoma found in the lung or whether it was an adenocarcinoma then originated in the lung?
- A Found in the lung.
- Q And hence the title, "Adenocarcinoma of the Lung"?
- Not necessarily. Adenocarcinoma of the lung was based upon a combination. My diagnosis of adenocarcinoma of the lung, my listing of adenocarcinoma of the lung as the cause of death was based upon a combination of these clinical findings; of the surgical pathology reports, cytology reports, and my autopsy findings.
- I understand that. And we get to that farther down in the report. But at the point where you have Title of the Case, does the title come from the clinical history that's presented to you?
- A No, sir, it does not.
- Q Well then, at some point then, we go page 1, page 2, page 3. And there's a date 6-24-91

1		and	the	ini	tia	als	JWW:nr	ı. W	hat	does	that
2		mear	n ?								
3	A	JWW	refe	ers	to	the	patho	ology	res	sident	: ,

- JWW refers to the pathology resident,

 Dr. Wolaniuk. And nn is -- at that time her

 name was Nancy Nilstat was the

 transcriptionist. 6-24-91 would have been

 the date that the gross protocol was

 transcribed.
- Q And it also happens to be the date that it was performed?
- A That is correct.
- Q And it appears to have been performed by Dr. --
- A Wolaniuk.

- Q Wolaniuk?
- A That is correct.
 - Q Do you remember being present while Dr. Wolaniuk conducted the gross protocol?
 - A I don't wish to evade your question, but I think it might help if I explain my usual procedure and the procedure that I can recall for that.
 - Q All right. Do this for me, Doctor: However you are comfortable with doing it, describe for me your usual procedure, describe for me

Α

the procedure that you were typically using with Dr. Wolaniuk in June of '91, and then describe for me what you specifically remember about this autopsy with respect to its gross protocol. How does that sound?

My usual procedure varies according to the level of experience and my subjective judgment of the expertise of the resident. I may spend the entire time of the autopsy in the morgue with the resident, either dissecting organs myself, or watching him dissect them, et cetera, et cetera.

At the minimum, I would discuss the case briefly before we would begin the autopsy as to what the clinical findings are and so forth. I may or may not come down before the first incision is made. But I always go down and review the organs after they have been removed from the body.

- Q When you say "review the organs," what do you mean?
- A I mean see them and palpate them with the resident, in the presence of the resident.

 We discuss the gross findings.

To the best of my knowledge, this would

have been the procedure. I would have allowed Dr. Wolaniuk, with the assistance of the diener, to do most of the dissection and then would have reviewed the organs.

And to the best of my recollection, that is what I did with Dr. Wolaniuk on that date.

- So page 1, 2, and part of page 3, up to the 0 initials JWW, are Dr. Wolaniuk's dictation of his gross examination of the autopsy?
- Α That is correct.
- Does it make sense that this Title of the Q Case, Adenocarcinoma of the Lung, is his dictation?
- Α I don't think I understand you.
- Well, am I correct that it's clear that Q Dr. Wolaniuk dictated these first two and a half pages of this report?
- Α Yes.

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- Q So to the extent somebody had to dictate "Title of the Case: Adenocarcinoma of the Lung, " that would have been Dr. Wolaniuk who dictated that?
- Α Not necessarily. That Title of the Case, neither I nor Dr. Wolaniuk would dictate the

title of this case as Adenocarcinoma of the
Lung. No, we do not do that. We dictate
the cause of death, which should be in a
preliminary report, which I'm not sure is in
that pile you're holding.

- Q It says right here "Preliminary Autopsy Report."
- A That I believe is a typo.
- Q Okay.
- A I think it may be described in one of the letters of Nancy Roderer.
- Q We will get to that in a second. But you don't disagree with me I'm holding something that says Preliminary Autopsy Report, that was dictated by Dr. Wolaniuk, and that says "Title of the Case: Adenocarcinoma of the Lung"?
- A I agree.

MR. YOUNG: Excuse me, Counsel.

Since there's two reports that say

Preliminary Autopsy Report --

MR. OHLEMEYER: We will mark this.

MR. YOUNG: That's what I would suggest, we mark this and keep it clear.

BY MR. OHLEMEYER:

Α

And, Doctor, under the section of Lungs 1 2 where the description of the lungs is provided, the lungs were weighed, right? 3 4 Α Yes, sir. They were observed? 5 0 6 Α Yes, sir. 7 Some observations are noted, right? Q Α That is correct. 8 And a tumor is measured in the middle lobe, 9 Q right? 10 That is correct. 11 Α Another mass in the right lower lobe is 0 12 measured and described? 13 Α That is correct. 14 15 And a focus of the consolidation of the Q 16 right upper lobe is described but not 17 measured as possibly representing tumor? 18 That is correct. Α There is nothing in the description of the 19 0 lungs in this portion of the report that 20 states or concludes that this is a 21 22 primary -- well, that this is either an 23 adenocarcinoma or it's a primary 24 adenocarcinoma of the lung?

That is correct.

1	Q	So then underneath the initials here, there
2		is a section that says Microscopic?
3	A	That is correct.
4	Q	And then there is some more dictation,
5		right?
6	A	That is correct.
7	Q	And then there's a Final Pathologic
8		Diagnosis?
9	A	That is correct.
10	Q	And then there is a Final Summary?
11	A	That is correct.
12	Q	And then we have Dr. Wolaniuk's initials?
13	A	That is correct.
14	Q	But now we have a date of 3-18-93?
15	A	That is correct.
16	Q	Does that suggest to you that the
17		microscopic evaluation of this material was
18		conducted sometime after June of 1991?
19	A	That is correct.
20	Q	Does it suggest to you that it was conducted
21		at or about March 18th of 1993?
22	A	That is correct.
23	Q	Explain for me how it is that that much time
24		passed between the gross and the
25		microscopic.

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A	The	resident	did	not	approach	mе	or	any	of
	the	other sta	aff ·						

- Q Can I interrupt you for a minute?
- A Yes.
- Q Does this suggest to you that Dr. Wolaniuk did the microscopic?
- A Yes. Dr. Wolaniuk did not approach me until shortly before May 18th.

MR. YOUNG: March 18th?

A March 18th.

MR. WAGNER: 1993?

- A That is correct. This is not -- I could speak off the record for quite a bit on this. But this is not looked upon favorably, shall I say, by the joint commission or by the College of American Pathologists.
- Q This procedure? The time lag?
- A The time lag.
- Q How experienced -- well, "experienced" isn't the right word. But at what point in his career was Dr. Wolaniuk in 1991? I don't understand enough about medical school. I mean, was he in his second year of medical school? Where was he?

1 Α I don't recall. I guess all we would have to do is we ought 2 Q to be able to find that in a book somewhere. 3 Yes, we can find that out from the medical 4 Α education department here. 5 Do you know how many autopsies, gross or 6 Q microscopic protocols he had conducted as of 7 June of 1991? 8 I do not know that; but I can find it out, 9 10 sir. Q Is there a record kept of that? 11 Yes. 12 Α What about as of March of '93? 13 I can also find that out, sir. 14 Α Dr. Wolaniuk signs page 5 --15 Q That is correct. 16 Α -- of what we will mark as Exhibit 1 here in 17 a second. And then it doesn't appear that 18 19 you have signed this. But it appears there 20 is something -- what does this say? "R.W. Pearson for." 21 Α Who is R.W. Pearson? 22 23 He is one of my colleagues, Dr. Richard Pearson. 24

What is the significance of Dr. Wolaniuk

signing this and Dr. Pearson signing it for you?

- A My best speculation as to why that happened is that I was not present. I may have been on vacation. I may have been at one of the outlying hospitals. And for whatever reason, there was pressure to complete the report. And Dr. Pearson -- I'm not sure what the legal term is.
- Q Signed for it?
- A Signed for it.
- Q Do you ever sign reports for other doctors?
- A Yes, I do.
 - Q What is it you're looking for when you sign a report for someone else? How do you decide whether to do it or not?
 - A I usually will speak with the pathologist involved and say, "This is what is on the report. Is this your recollection or is this correct?"
 - Q So you presumed that Dr. Pearson spoke with Dr. Wolaniuk and then signed the report?
 - A Or with me or both.
 - Q Is there any way for you to know whether you had actually reviewed the microscopy in this

case prior to March of 1993?

- A Only my recollection that I reviewed the microscopy with him.
- Q When you say reviewed it with him, do you mean discuss what he observed or actually look at the microscope?
- A Actually look at a double-headed microscope with Dr. Wolaniuk.
- Q Is there a record of that occurring beyond what I have indicated we will mark as Exhibit 1?
- A I don't believe there's a written record of my actually sitting down at the microscope and looking with him.
- Q To the extent that Dr. Wolaniuk did it sometime on or about the 18th of March in '93, that's when you would have done it with him?

MR. YOUNG: I guess I will object.

It assumes that the review is done on

March 18th. I think the only thing that's

been established so far is that the document

was dictated.

MR. OHLEMEYER: Your objection is noted, Counsel.

Q	As I recall your testimony, Doctor, the fact
	this was dictated on or about March 18th,
	1993, suggests to you that the microscopy
	was done in that vicinity.

- A That would be correct.
- And your best recollection, although there's no record of it, is that you would have looked at this microscopy with Dr. Wolaniuk sometime in that vicinity?
- A That is correct.
- Q I want to mark this as Exhibit 1. And then what I would like to do, Doctor, is mark the remainder of this file, which is entitled Mildred Wiley, Exhibit 2. And we will get you the original. We will have the court reporter make a copy for us. I take it it's not going to confuse you any to mark that report separately from the rest of this file?
- A No.
- Q I haven't mixed up your filing system?
- A No.

MR. OHLEMEYER: Let me ask the court reporter to mark that.

(Defendant's Exhibit(s) 1 & 2 marked

for identification.)

BY MR. OHLEMEYER:

- Q For the record, I have marked as Exhibit 2 the folder you have labeled as Mildred Wiley; is that correct?
- A That is correct.
- Q Let me back up. As counsel reminds me, you talked about your recollection of your general practice or your typical practice.

 What is it that you can recall specifically about this autopsy, the autopsy in question being Mrs. Wiley's, separate and apart from anything that might be described in Exhibit 1?
- A I can remember reviewing the organs, the gross organs, with Dr. Wolaniuk at the completion of the autopsy. I can also remember reviewing the slides, the microscopic slides, with Dr. Wolaniuk.
- Q Anything else?
- A I can remember speaking with Nicki Turner regarding some of the clinical aspects of this case.
- Q What else?
- A May I back up a little bit?

		3 0
1	Q	Sure.
2	A	I have almost forgotten your original
3		question.
4	Q	What is it specifically that you can recall
5		about this autopsy?
6	A	Those three things.
7	Q	Why is it that you recall reviewing the
8		organs and the slides with Dr. Wolaniuk?
9		Let me back up. How many autopsies
10		have you conducted since 1974 or 1975?
11		MR. YOUNG: Since '75?
12	A	Is that what you want to say?
13	Q	As I understand it, you have been a resident
14		since 1975?
15	A	Yes, I completed my residency
16	Q	Let me ask a better question. How many
17		autopsies do you think you have conducted?
18	A	Perhaps 500 to a thousand.
19	Q	What is it about this one that allows you to
20		sit here five years later and recall
21		reviewing the organs or the slides with
22		Dr. Wolaniuk?
23	A	I believe that Dr. Turner stressed the
24		importance of this case at or shortly after

the autopsy. It's a little bit like

- Q Did Dr. Turner explain to you why she was interested in this autopsy or it was important, as you described it?
- At the time of the autopsy or shortly thereafter, she expressed the fact that this would be important information in a civil suit.
- Q What else can you remember her saying about that?
- A As I mentioned before, that the patient was exposed to secondhand smoke.
- And am I correct she also expressed some opinion about the cause of the woman's cancer or associated its cause with that exposure?
- A That is correct. Your latter statement is correct.
- Q Did Dr. Turner, at or near the time of the autopsy, describe to you her belief that the woman's cancer was associated with her exposure to environmental tobacco smoke?

- A That is correct.
- Q You said you recall speaking with Dr. Turner about the clinical aspects of the case.

When was that? Is that the conversation you just told me about or was there another one?

- A I do not recall the number of conversations.

 I believe that when I spoke of the clinical aspects of the case, it included the fact that she may have associated the exposure to secondhand tobacco smoke.
- Q "She" being Dr. Turner may have associated the cause of the disease with the exposure?
- A Yes.
- Q And how would you describe that in terms of your prior dealings with Dr. Turner? Was it typical or unusual for her to talk with you about a particular autopsy on a particular patient?
- A I would say that Dr. Turner was more interested, spent more time discussing this case with me than perhaps a different type of case.
- Q Did she tell you why or did you ask her why she was that interested in this case?
- A I don't recall.

Q Did you form any opinions as to why she might be interested in this case as opposed to other cases?

MR. YOUNG: I will object to the extent it asks him to speculate.

MR. OHLEMEYER: I am asking the witness. I apologize for interrupting, Mr. Young.

- Q My question is: Did you form any opinions in your mind about why Dr. Turner may have demonstrated this unusual interest in this case?
- A I am not sure if I have expressed this before, but I believe she expressed the fact that this would be the subject of a civil suit. And my assumption was that because it was the subject of a civil suit, she devoted more time or wanted to speak to me more about it.
- Q Did she tell you either then or since then why or how she knew at the time that this autopsy might be the subject of a civil suit?
- A Would you rephrase the question?
- Q Did Dr. Turner tell you at that time, the

time of the autopsy, or any point in time since then, why she believed that this autopsy might be the subject of a civil suit?

- A I don't recall.
- Q And by "civil suit," did you understand her to mean a suit involving her or other doctors or a suit involving a third party?

 $$\operatorname{MR}.$$ YOUNG: I will object to the form of the question.

- A Are you referring to a malpractice suit?
- Q Yes.
- A At that time, I don't believe there was a question of malpractice.
- Q Tell me, Dr. Kocoshis, when and how you received the deposition of Victor Roggli.
- A I found this deposition on the desk of Ms. Barbara Wright, who is one of the transcriptionists and receptionists at Pathologists Associated, on -- I don't recall the exact date, whether it was Saturday or Sunday.

Since that time, I found out from

Mr. Young that he had provided this copy to

me; that it had been delivered apparently to

Ms.	Wright's desk or to the office.
Ms.	Wright apparently neglected to tell me
the	purpose of it. Obviously, it caught my
eye	and I saw it. And I assumed it was for

- Q So it arrived unsolicited at some point in the last few weeks?
- A That is correct.
- Q And have you read it?
- O A Yes, sir, I have.

me.

- Q Do you know Dr. Roggli professionally by reputation or otherwise?
 - A Only from reputation.
 - Q Have you ever met him?
- 5 A No, I have not, sir.
 - Q Then you have a copy of we have already identified as Chapter 7 of this asbestos-associated disease textbook?
 - A Yes.
 - Q When and how did you obtain this?
 - A This was included with the deposition or in proximity of the deposition.
 - Q Then you also have the text, "Tumors of the Lung," by Mackay, Lukeman, and Ordonez?
 - A Yes, sir.

1	Q	And you've got page 157 flagged?
2	А	Yes, sir.
3	Q	This appears to be your textbook?
4	А	Yes, sir.
5	Q	Thomas Kocoshis, M.D. And you have tagged
6		page 157. Tell me why.
7	A	That particular page of that text deals with
8		adenocarcinoma of the lung and specifically
9		with metastases and difficulties in
10		sometimes distinguishing the two.
11	Q	The difficulty in distinguishing
12	A	Metastatic.
13	Q	From primary
14	A	From primary.
15	Q	carcinoma, especially when you are
16		dealing with an adenocarcinoma?
17	A	That is correct.
18	Q	And we will talk about this in a little more
19		detail in a minute. But in part, that's due
20		to the fact that adenocarcinoma can arise in
21		a variety of, I guess for lack of a better
22		word, organs or parts of the body?
23	A	That is correct.
24		MR. YOUNG: Objection to the form

of the question.

Q And it's a type of cancer that is commonly found to metastasize through various routes throughout the body?

MR. YOUNG: Again, objection to the form of the question.

- A Throughout the body meaning what?
- Q I will be more specific. Of the different histological types of carcinoma -- and feel free to correct my nomenclature -- adenocarcinoma is one of the most frequent -- is the type of carcinoma that metastasizes the most frequently to the most places?

MR. YOUNG: Object to the form.

Q I will rephrase the question, Doctor. I ought to go into it in a little more organized fashion, and we will do it in a minute.

And then I know by looking at it, Dail and Hammer, "Pulmonary Pathology;" is that correct?

- A Yes.
- Q I have lugged this book all over the country. You've got page 1603 flagged. And for the record, this is apparently your

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Okay. Define what you mean.

Mr. Young is what I mean. I'm sorry, we

have got a joke. There is more than one Mr. Young.

- A Jim Young.
- Q Okay, Jim Young.
- A Shall I continue?
- Q Yes.
 - A I spoke with Mr. Jim Young. Well, I have spoken to him on the phone regarding the deposition and so forth, the scheduling and so forth.

Approximately, I want to say two weeks ago, Mr. Young brought the slides to my office. And I reviewed them with him. And he asked me questions regarding the autopsy report, certain technical questions, what I felt I was not an expert in, what I felt I was

- Q Was that the first time you had met with Mr. Young or any other attorneys to talk about issues specific to this case?
- A Face to face?
- Q Yes.
- A To the best of my recollection, yes.
- Q Now, you had some telephone calls with people about scheduling and things. But did

you	have	actua	al su	bstantive	e discuss	ions	over
the	teler	phone	with	lawyers	relating	to	
issı	ıes in	n the	case	?			

- A I may have spoken, according to one of the exhibits which you have, to Mr. Jim Young's brother, Mr. Tom Young.
- Q About the tissue issue?
- A Yes.
- Q Fair enough. You said you looked at the slides a couple of weeks ago?
- A Yes, sir.
 - Q Did you make any notes or dictate any impressions of the slides?
 - A I did not.
 - Q Was that the first time you had looked at those slides since any review you might have done with Dr. Wolaniuk back in 1993?
 - A I believe that I have reviewed the slides on at least one occasion, if not more, between May 18th of '93 and two weeks ago.
 - Q Let's start with May of '93.
- A Sorry. May I correct that? I believe it was March.
 - Q March, you're right. Tell me what it is that you can recall or why it is you recall

1 actually reviewing those slides with Dr. Wolaniuk in March of '93. 2 3 MR. YOUNG: I will object. 4 a double question. 5 I will rephrase it. MR. OHLEMEYER: 6 Q Tell me, Doctor, do you remember reviewing 7 the slides with Dr. Wolaniuk in March of 193? 8 9 I remember them. Α 10 Q Tell me why it is you remember them. Because of the importance of the case. 11 Α Importance as described to you by 12 Q Dr. Turner? 13 14 Α That is correct. Two weeks ago you looked at them with 15 0 Mr. Young? 16 That is correct. 17 Α 18 You believe at some point in time between Q 19 then, you remember looking at them? 20 That is correct. Α 21 0 Tell me when or how or what it is you 22 remember about that. 23 Α I believe that I may have looked at them 24 before they were sent to various persons or

parties requesting them.

- Q Do you have any record of that?
- A No. May I back up?
- Q Maybe I ought to ask you: When you say
 "review," do you mean review with an eye
 toward pathological observations or review
 with an eye toward inventory?
- A Inventory.
- Q Tell me, Doctor, what anatomic pathology is.
- A Anatomic pathology is the study of structural changes, gross and microscopic and I suppose molecular, related to disease.
- Q And what's the purpose of that?
- A To establish a diagnosis or diagnoses on the basis of structural changes.
- Q And with respect to cancer, is that the only way to make such a diagnosis?

Let me rephrase the question. I take it, depending on how you define "cytology," I mean, cytology involves the same type of thing, only looking at structural changes in different specimens?

- A In individual cells as opposed to groups of cells.
- Q I guess my question though is: Is that how cancer is diagnosed by pathologists, making

1 pathological diagnoses?

> Α Yes, sir.

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- And that's what you and other pathologists 0 are trained by background and education to do?
- That is correct. Α
- And when you do that in a hospital like 0 this, do you, Dr. Kocoshis, do it more often with respect to postmortem situations or situations in which there is a surgeon or internist waiting for a diagnosis in order to consider treatment options?
- I would consider at this point in time, I am Α doing as much -- I'm probably doing as much surgical pathology or pathology practice on living patients as postmortem pathology.

At that time in 1991, I probably was doing more postmortem type of pathology.

With respect to surgical pathology in cases in which carcinoma is suspected, at that point, is it fair to say you are almost universally unconcerned with determining the cause of the disease as opposed to defining or describing the disease?

MR. YOUNG: Object to the form of

the question.

- A That is correct.
- Q And is it fair to say that in a good number, if not a majority, of the postmortem pathological cases in which you are involved, again, you are more concerned with defining the disease or its location of origin as opposed to its cause as it relates to carcinoma?
- A That is correct.
- Q Separate and apart from your professional experience in surgical or anatomical pathology, have you developed any interest in research areas or any specialties?
- A I have a certificate of special competence in hematology.
- Q Explain that for me.
 - A The study of hematology, being the study of the diseases of the blood.
- Q When and how did you develop that specialty?
- A I did one year of fellowship here at Ball

 Memorial Hospital and took an exam --
- Q Sorry, go ahead.
- A -- which was given by the American Board of Pathology.

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1	Q	Have you ever done any special reading or
2		special investigation on the issue of the
3		etiology of lung cancer?
4	A	I have not done research.
5	Q	I mean, you obviously have read a little bit
6		at least in Dail and Hammer and, what is it,
7		Roggli and Pratt?
8	A	To be honest
9	Q	Greenberg.
10	A	To be honest I should be honest.
11	Q	That's a good starting point.
12	A	It's a speech habit that I have. I did not
13		read this.
14		MR. WAGNER: "This" being?
15	Q	"This" being the Roggli, Greenberg, and
16		Pratt chapter?
17	A	That is correct.
18	Q	These two books you certainly have on your
19		shelf?
20	A	That is correct.
21	Q	And you consider them to be authoritative in
22		the field of pulmonary pathology or tumors
23		of the lung?
24	A	Yes, sir.

What about Devita, "Cancer Principles and

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1		Practice of Oncology"? Do you have that
2		book?
3	A	I don't have it. I have heard of the book.
4	Q	What about Thurlbeck and Churg, "Pathology
5		of the Lung"?
6	A	I also have heard of that book.
7	Q	Do you recognize Dr. Churg as an authority
8		on pathology of the lung?
9	A	Dr. Andrew Churg?
10	Q	Correct.
11	A	That is correct.
12	Q	As compared to his father, Jacob Churg?
13	A	That is correct.
14	Q	Are there any journals, periodicals you
15		subscribe to, that deal with the etiology of
16		cancer?
17	A	Not specifically. It is possible that
18		articles appear in journals that I subscribe
19		to.
20	Q	A better question: What journals do you
21		subscribe to?
22	A	Archives of Pathology, American Journal of
23		Clinical Pathology, Journal of Clinical
24		Microbiology.

What background, education, or experience do

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- A I have had background during my residency, pathology residency, in microbiology. And I was medical director of the microbiology section of Pathologists Associated Medical Laboratories from 1992 through the end of June, I believe, of 1996.
- Q And what is microbiology?
- A The study of microorganisms and their relationship to disease, human disease in this case.
- Q Something different than molecular biology?
- A That is correct.
- Q Sorry, go ahead.
- A Although, molecular biology is a part of or can be considered a part of microbiology.
- Q Do you have any special knowledge in the area of microbiology?
- A I do not consider myself as having a special knowledge in that area.
- Q Have you ever testified in a lawsuit before or at trial? Let me rephrase the question.

Have you ever offered opinions as a pathologist in court?

A I have in criminal cases.

Like a medical examiner? 1 0 2 Α Homicide, yes. Have you ever testified or offered opinion 3 Q testimony in a case that involved chronic 4 disease, such as but not limited to cancer? 5 6 Α No. Have you ever been involved in a lawsuit as 7 Q a party in connection with your professional 8 9 activities? 10 Α Yes, sir, I have. Can you just generally describe that for me? 11 O After 18 years of practice, I was -- I have Α 12 been named -- during that 18-year period, I 13 have been named personally in two suits. 14 Q What did they involve? What kind of issues 15 did they involve? 16 The one involved overcalling a breast 17 Α biopsy. May I add this was "meritless." Ιt 18 was determined to be meritless by the 19 Medical Review Board of the state of 20 21 Indiana. When you say "overcalling," you mean 22 Q 23 diagnosing the biopsy as cancer that 24 somebody claimed was not cancer? 25 That is correct.

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- Q And the second one?
- A The second one involved overcalling, again, a kidney biopsy as being cancer on a frozen section.
- Q Is it fair to say, Doctor, that the practice of pathology involves some intra- and inter-observer variability?
- A That is correct.
- Q By that, I take it you understand what I mean by that?
- A I understand.
- Q That's a bad question. But what I mean by that, that you may look at the same specimen on separate occasions and reach different judgments?
- A That is correct.
 - Q And you may look at a specimen and judge it or diagnose it one way, whereas another pathologist may diagnose it another way?
 - A That is correct.
 - Q And that is, for lack of a better word, just inherent in what you do?
 - A That is correct.
- Q Do you smoke?
- 25 A I do not.

- Q Have you ever?
- A No, but I eat Fig Newtons.
- Q You are smiling about the --
- A I anticipated that question. I'm being a little facetious. We need a little levity.
- Q You used the word "expert" a while back. Do you have an understanding of what that word means in a lawsuit or in a context like this?
- A Mr. Jim Young defined it for me.
- Q How did he define it for you?
 - A To the best of my recollection -- I didn't record it -- but he said that it was one that, on the basis of training, experience, background, had more than a lay person's knowledge regarding something.
 - Q Bearing in mind you're a medical doctor, do you have any special training or specialization in determining the etiology of cancer?
 - A No, I do not.
 - Q What about do you consider yourself to have any special knowledge or training in the areas of epidemiology?
- A No, sir.

- Q Or pulmonology?
- A No, sir.
- Q Or toxicology?
- A No, sir.
- You are hesitating because I suppose in microbiology, you have some familiarity with it, I guess?

MR. YOUNG: I will object to the form of the question.

- A With regard to -- actually with regard to -medical-legal is not the correct term. But
 regarding certain homicides and so forth, I
 encountered this topic or suicides.
- Q Poisoning cases?
- A Poisoning, yes, specifically.
- And in a case like that, I take it you are asked to examine a body to determine whether or if you can observe or detect the presence of certain poisons in a body?
- A That is correct.
- Am I correct in some instances, there are things that you can observe that you connect to the ingestion of that poison; but in others, you have to take specimens and have somebody else analyze them?

- A That is correct.
- Q Is it fair to say, Doctor, that you have not been asked to offer an opinion in this case about the cause of Mrs. Wiley's cancer?
- A To the best of my recollection, no one has asked me point blank.
- Q It's simpler than that. Have the lawyers retained you or hired you to offer opinion testimony at trial about the cause of Mrs. Wiley's death?
- A Emphatically, no.
- Q I take it they haven't asked you to offer opinions regarding the diagnosis and treatment of her disease up to the point where you got involved with the autopsy?
- A That is correct.
- I take it they have not asked you to formulate or express opinions about the health risks associated with exposure to environmental tobacco smoke or tobacco smoke?
- A That is correct.
- Q You're a medical doctor who obviously has been involved in your profession for a while. What do you think about cigarettes

in terms of whether they should or should not be a legal product?

MR. YOUNG: I will object to the relevance and the form of the question.

A That question is beyond the realm of my expertise.

MR. OHLEMEYER: Can we take a short break, and then we will come back?

(Recess from 2:41 p.m. to 2:46 p.m.)

BY MR. OHLEMEYER:

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- Q Back on the record. Doctor, let me know if you don't understand a question.
- A Yes, sir.
- Q As a pathologist, are you aware of any diagnostic test or any machine or anything that can be used to determine the cause of a specific individual's cancer?
- A No.
- Q Let's talk about lung cancer, or a better description, tumors of the lung. I take it you would agree that lung cancer -- the reason I made the distinction is lung cancer is not a single disease, is it?
- A That is correct.
- Q It involves different histological types of

cancer	and	subtypes	οf	cancers?
Cancer	4114		~ -	

- A That is correct.
- Q And it's one of the things you as a pathologist do is to identify the histological type of cancer doctors are dealing with?
- A That is correct.
- Q And one of the reasons that's done, is it not, is because treatment of the cancer can be a function of its histological cell type?
- A That is correct.
- Q Certain types of cancer are treated differently and respond to treatment differently than other types of cancer?
- A That is correct.
- Q Can the histology give you some indication or some clue as to from where in the body a carcinoma originates?
- A To some extent, yes.
- One example that comes to my mind is retinoblastoma. I mean, blastoma in the retina makes sense to me. Can you explain to me when and how to some extent you can use a histological diagnosis to identify or rule out where the cancer occurred or

originated?

- A For instance, if you were to find an adenocarcinoma of the lung that was metastatic to the lung, you could say with almost 100 percent certainty that it did not arise from the epidermis of the skin, because a tumor arising from the epidermis of the skin would be a squamous cell carcinoma almost exclusively.
- Q Is it fair to say, Doctor, that metastatic neoplasms of the lung are the most common tumor found in the lung?
- A That is correct, yes, sir.
- Q And are the lungs the organ systems that acquire the most metastases of any system in the entire body?
- A That is correct, to my knowledge.
- Q And it is thought that's related to several unique features of the lung; for example, the fact that they receive the entire cardiac output every minute, have the densest capillary bed in the body, and are the first capillary plexus met after most of the lymphatic drainage enters the venous system?

- A I would need to qualify that.

 2 Q Sure.
 - A Certain organs have patterns of venous drainage. And an organ such as the pancreas, its venous blood would drain into the liver first and then into the lung eventually.
 - Q What about its lymphatic drainage?
 - A The pancreas?
 - Q Yes.

- A I do not recall off the top of my head where the lymphatic drainage from the pancreas itself arises. I do know that the lymphatic drainage from the intestines accumulates in an area near the pancreas called the cisterna chyli which then drains -- should I spell that for the reporter?

 C-I-S-T-E-R-N-A C-H-Y-L-I.
- Q Sometimes we can just go back and do it later. Whatever suits you.
- A And this drainage then goes by way of the thoracic duct and eventually enters the blood system, the circulatory system.
- Q Cancer can spread through the blood?
- A That is correct.

- Q Through the lymph system?
- A That is correct.
 - Q I guess it can also spread by extension?
- A That is correct.
- Q Just grows?
- A That is correct.
- Q Are there other ways for cancer to spread throughout the body?
- A Yes, sir. Lung cancer can spread from -- is thought to be able to be spread by inhaling cancer cells, so that these cancer cells could move from one part of the lung to another, because they are inhaled deeper or farther away from a bronchus, for instance.
- Q So that would be movement or translocation within the lung?
- A Yes, sir.
- Q And then I take it the lungs, what I read to you from this book about the cardiac output and the capillary bed, is an accurate way to state what a layman might say is the lungs being a fertile field for the growth of cancer?
- A That is correct.
 - MR. YOUNG: I will object to the

form of the question and ask if you could direct the record or the witness to the page that you are referring to.

MR. OHLEMEYER: It's page 1581, chapter 35.

- Q Is it fair to say, Doctor, that carcinomas metastatic to the lung are the most common subgroup of malignancy?
- A May I repeat what you have said?
- O Sure.

- A Are the most --
- I will read this to you, and you can tell me if you agree or disagree: "Because of their overall frequency, carcinomas metastatic to the lung are the most common subgroup of malignancies. Although lymphomas and sarcomas are also important and are discussed in this chapter."
- A May I qualify? I think that the author is trying to say greatest subgroup of malignancies of the lung or in the lung, rather than subgroup of malignancies as a whole.

MR. YOUNG: I would also like to interpose an objection with your agreement,

to show it as a continuing objection, to taking the passages from the text out of context and reading them to the witness and asking him to agree or disagree.

MR. OHLEMEYER: You have a continuing objection to the form of my question.

MR. YOUNG: Thank you. I would like to show the objection I just made as continuing.

MR. OHLEMEYER: That objection doesn't need to be made. So it obviously would continue not --

MR. YOUNG: I'm just trying to keep from interrupting you.

MR. OHLEMEYER: I appreciate it.

Thank you. So, yes, I will give you a continuing objection to the objection that you have made.

MR. YOUNG: Thank you.

- Q Doctor, lymphomas and sarcomas aren't a subgroup of malignancy in the lung, right?
- A Yes.
- Q "Yes" they are or "yes" they are not?
- A Yes, they are.

- Do you agree or disagree that virtually any 1 Q malignancy may spread to the lung, but the 2 most common malignancies that spread to the lung arise in the breast, colon, stomach, pancreas, kidney, melanoma, prostate, liver, 5 thyroid, adrenal, and male and female 6 genital tracts, roughly in that order? 7
 - Α I would agree.

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- By absolute numbers, Doctor, would you agree 0 that adenocarcinomas far outnumber the other extra thoracic solid tumors that metastasize to the lung?
- That is correct. Α
- And you may have answered this, but let me Q ask you again: Is the pathologist often challenged with whether a tumor in the lung is primary or metastatic?
- That is correct. Α
- With respect to adenocarcinoma, what is the Q significance of its secretion of mucin or its lack of secretion of mucin?
- The secretion of mucin helps to subclassify Α it, subclassify the tumor; the mucous-secreting tumors being either adenocarcinomas or a variant called a

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mucoepidermoid	carcinoma.
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- Q Are there adenocarcinomas that don't secrete mucin?
- A That is correct.
- Q If you have an adenocarcinoma that doesn't demonstrate mucin, what, if anything, does that tell you?

Does it allow you to rule things out?

In terms of primary sites, does it allow you to rule out or rule in certain sites?

- A The presence of mucin in an adenocarcinoma would -- and I believe I'm answering your question. The presence of mucin in an adenocarcinoma would tend to rule out kidney primary.
- Q What would the absence of mucin in an adenocarcinoma tell you?
- A Not much to me either way.
- Q Can endobronchial metastases be confused with centrally-placed primary lung carcinomas?
- A Yes, sir.
- Q Does that present a challenge in distinguishing metastatic and primary disease, that being the observation of an

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- A May I state my opinion on that?
- O Sure.
- A In my opinion, the probability of an endobronchial lesion being primary is higher than the probability that it is a metastasis.
- Q Let's add another piece of information.

 Let's assume we have an adenocarcinoma that is observed endobronchially. Does the presence of adenocarcinoma in the bronchus present a particular challenge to the pathologist in distinguishing metastatic from primary disease?
- A It can.
 - Q And is that in part because most primary lung adenocarcinomas are peripheral?
 - A That is correct.
 - Q Is it true, Doctor, that most true primary bronchial adenocarcinomas are rare and often derived from bronchial glands?
- A That is correct.
- Q Are most primary adenocarcinomas of the lung and large cell undifferentiated carcinomas of the lung peripheral tumors?

- A I believe most adenocarcinomas; I'm not sure about large cell.
- Q So most primary adenocarcinomas of the lung are peripheral?
- A To the best of my knowledge, yes, sir.
- Q And words like "most" and "most often" are derived from the frequency with which those findings are observed?
- A That is correct.
- Q Does that type of information, the frequency with which those types of things are observed, help you as a pathologist decide whether you are dealing with a primary or metastatic carcinoma in any particular part of the body?
- A It is a factor.
- When you're looking at the microscope and you have what you suspect to be a cancer, what are the factors that you use to help determine whether you are looking at a primary or metastatic cancer?
- A First of all, I would take gross factors.
- Q Exactly. Good place to start.
- A I would use size of the tumor as one factor.

 Another factor would be the typical venous

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and/or lymphatic drainage from one site to another.

Microscopically, I acknowledge there is difficulty in determining primary from metastatic adenocarcinoma.

Let me stop you there. You have introduced a factor that you didn't explain. But I suspect you would agree that histology is one factor you use, although it's less helpful with some subtypes of cancers than others?

MR. YOUNG: I will object to the form of the question.

- A That is correct.
- And I take it observations of other pathologists about the frequency with which certain sized histological types of tumors occur in certain places is another factor you use?
- A That is correct.

MR. YOUNG: Object to the form.

Q And am I correct, Doctor, that at some point, you take that information and use it to form a judgment that becomes your pathological diagnosis?

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Your question was:

known as?

Is there a phenomenon

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\cap	Yes	

- A Yes.
- Q Are poorly differentiated carcinomas of unknown primary sites often found to have metastasized to the lung?
- A That is correct.
- Q Another way of putting that is on autopsy or postmortem, you can observe cancer in the lung, yet not find or account for its primary site of origin?

MR. YOUNG: I will object to the form of the question.

MR. OHLEMEYER: Let me rephrase the question.

- What that phenomenon or that diagnosis describes is the situation where you as the pathologist have detected the presence of cancer in the lung, but that you believe not to have originated there, but you are unable to find the part of the body from which it did originate?
- A That can happen, yes.
- Q How often does it happen?
- A In my experience, it has not happened very often.

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Q	It's something	that you	have	read a	about and
	are trained to	consider	when	you d	o your
	ioh?				

 $$\operatorname{MR}.$$ YOUNG: I will object to the form of the question.

- Q Let me rephrase it. The phenomenon that we have described or the diagnosis that you have described as happening not very often is something that is described and discussed in textbooks and classes?
- A Yes, sir.
- Q Because it's something you need to consider when you do what you do as a pathologist?
- A Yes, sir.
- Q Do you know whether certain histological types of lung cancer are more or less strongly associated in epidemiological studies with a history of cigarette smoking?
- A From my reading, it is apparent that small cell carcinoma of the lung and squamous cell carcinoma of the lung have been associated most strongly with smoking.
- Q And adenocarcinoma being least strongly associated?

MR. YOUNG: I will object to the

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1		form of the question.
2	A	Less strongly.
3	Q	Do you know or do you consider lung cancer
4		to be a multi-factorial disease? Or is that
5		something you don't
6	A	I believe that to be beyond the realm of my
7		expertise.
8	Q	Do you know what tamoxifen is?
9	A	Yes, sir.
10	Q	What is it?
11	A	It is an antiestrogen drug.
12	Q	Do you know why and how it's used?
13	A	It is used in treatment of certain cancers
14		which are estrogen sensitive.
15	Q	And give me an example of an estrogen
16		sensitive cancer.
17	A	Breast.
18	Q	It sounds like there was a comma as opposed
19		to a period there.
20	A	I know it's used for breast cancer because
21		my wife uses it. I'm less certain about
22	·	uterus and ovary.
23	Q	Do you know anything about Mrs. Wiley's
24		medical history prior to her admission to

Ball Memorial Hospital?

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- A Only from what I have read in the deposition.
- Q Of Dr. Roggli?
- A Yes.
- Q So at the time you were involved in this autopsy, you had no information beyond what might have been contained in the records of the pathology that were available to you or the discussions you had with Dr. Turner?
- A And the medical records, yes.
- Q I guess my question goes to her historical medical record.
- A Other than what's in there, no.
 - Q "There" being what?
 - A Other than the medical record available to me, the chart available to me, at the time of autopsy.
 - Q Is that chart part of that Exhibit No. 2?
 - A No, it is not.
 - Q Would that have been the Ball Memorial chart?
- A That is correct.
 - Q So I guess maybe that's a better question.

 When you do the autopsy, does the hospital send the body and the chart to you?

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You mentioned that the joint commission frowns upon -- those may be my words and not your words -- frowns upon a delay such as the one we observed between the autopsy and the dictation of the microscopic examination

in this case. Can you explain that for me,

what it is about it that --

That is correct.

- A They feel, as well as the College of
 American Pathology, that it's a
 less-than-ideal level of service --
- Q Why is that?
- A -- for there to be a delay. It delays
 the -- it may delay insurance settlements.

 It may delay civil suits. It may delay the
 resolution of concerns of the family or one
 thing or the other.
- Q Does it have anything to do with, you know, concerns about the judgment of the pathologist either being colored by the passage of time or the acquisition of extraneous information?
- A To my knowledge, no. In my opinion, no.
- Q Is that a fair concern, the effect of passage of time and the accumulation of

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pathologica	al	diagnosi	s	of	gr	oss	des	crip	tion?
MF	₹.	YOUNG:	Ob	jec	t	to	the	form	of
the questic	on.								

- A In my opinion, no, that should not be a concern.
- Q Is it easier to diagnose a tumor or a cancer microscopically if you know what it is you're looking for? I don't mean it facetiously.
- A I think I understand. I'm trying to -
 MR. YOUNG: I will object to the
 form of the question. I think it's vague.
- Q You understand what I'm saying, don't you, right?
- A It is.
- Q It is easier?
- A It is easier if you know what to expect or what is most likely.
 - Q What do you know about this autopsy with respect to when or how it was ordered? Is "ordered" the right word?
 - A Requested. From the review of the materials, including the autopsy report available to me, a permit was signed. And

apparently the next of kin requested a limitation that the head not be examined, the cranial contents not be examined.

This was not documented. But our policy is if the family requests it at some later point, we don't hold them strictly to the permit.

- Q How do you or Dr. Wolaniuk know that at the time -- you've got a woman who dies. A day later, you've got a body. You've got a permit. You've got a chart. How do you know or how did that restriction --
- A I don't know in this particular case. I know in other cases, we may get a telephone call from the doctor's office, less commonly from the family themselves, perhaps from nursing service saying that these are the family's wishes.
- Q If there had not been a restriction as you have described it to me in this case, would there have been a more extensive autopsy conducted?
- A In this case, probably not.
- Q Why?
- A Because there was no indication as I recall

1		of specific neurologic findings. And we
2		felt that the cause of death was adequately
3		established from the findings in the chest
4		and abdomen.
5	Q	Would a suspicion of brain metastases be a

- sufficient neurological finding to have caused you to do a more extensive autopsy?
- It should be. A

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Quite frankly, I don't have the records in 0 front of me. But if that were the case, if there was something in the chart that suggested that, absent this restriction, you might have done a more extensive autopsy?

I will object. MR. YOUNG: asking him to speculate to consider things that are not in evidence.

- That is correct. Α
- Now, back a minute to this conversation with Q Dr. Turner -- and again, I don't want to put words in your mouth -- I think your words were "civil suit." She mentioned to you something about a civil suit. Do you recall that?
- Α That is my prior testimony, yes.
- Did she tell you anything about that suit,

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- A To the best of my recollection, the "tobacco companies" were to be sued. What was the rest of your question?
- Q Did she describe to you who was going to bring the lawsuit or what claims were going to be made?
- A I don't recall a specific sum being named, but that the family were going to be the plaintiffs, as I understand or as I recall.
- Q Did she tell you at that time what involvement or interest, if any, she was going to have in that kind of lawsuit?
- A No, she did not.
- Q Since that time, have you talked with Dr. Turner about this case, this patient, or this lawsuit?
- A Yes.
- Q Tell me when. Kind of just walk me through that chronology.
- A I don't remember, of course, the exact date or time. I did discuss with Dr. Turner the fact that, for instance, four paraffin

- blocks seemed to be missing.
- You've done the autopsy. Dr. Wolaniuk has dictated the report, which takes us to about March of '93, right?
- A Yes, sir.
- Q At that point, had you had another conversation with Dr. Turner about the patient, the autopsy, or the lawsuit?
- A I am assuming I did based on the bibliography or the literature search that I did. I don't recall the details of that.
- Q The literature search that's described in Exhibit 2?
- A Whichever exhibit that is.
- Q Was there a date on that? May 3rd, '93?
- A Yes, sir.
 - Q So you think that was the next time you had a conversation with Dr. Turner?
 - A I'm assuming between March 18th, when the report was finalized, and May 3rd that I had some conversation.
 - Q And what is the next conversation with Dr. Turner that you can recall about the case or the patient or the lawsuit?

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- A I believe it had to do with the paraffin blocks.
- O And when would that have occurred?
- A I don't remember the exact date. I would assume it would be after the report. Well, after March 18th, of 1993.
- Q So if I was going to set forth this chronology, we had a discussion at or near the time of the gross protocol with Dr. Turner?
- A Yes, sir.
- Q Then we have a discussion at or near March of '93 when the final microscopic was signed?
- A That is correct.
- Q Then we have a discussion or at least some kind of contact in May of '93 connected to the literature search?
- A May I back up?
- Q Sure.
- A On March 18th of 1993, I may not have discussed it. I simply had my secretary send her a copy of the report. But there was apparently a conversation between March 18th and May 3rd.

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1	Q	That resulted in you sending her the
2		literature?
3	A	That is correct.
4	Q	And you don't recall any specifications of
5		the conversation?
6	A	I do not recall the specifics of that
7		conversation.
8	Q	Then there was a discussion about the blocks
9		at some point?
10	A	That is correct.
11	Q	And then what about the next discussion, if
12		any?
13	A	I do not recall having a further discussion
14		with Dr. Turner.
15	Q	What about any other doctor, like Dr. Songer
16		or any other doctor?
17	A	Not to my knowledge.
18	Q	Did anyone tell you at the time of the
19		autopsy or did you read or determine from
20		any review of medical records the purpose
21		for the autopsy?
22	A	I don't recall specifically.
23	Q	Am I right that one reason to do an autopsy
24		would be to determine the immediate cause of
25		death?

1	A	That is corre
2	Q	One reason to
3		determine, I
4		word, the pre
5	A	That's correc
6		MR.
7		in general?
8	Q	In general.
9	A	Yes.
10	Q	One reason to
11		determine the
12		cancer?
13	A	That is corre
14	Q	There might b
15		to do an auto
16		Do you re
17		or whether the
18		medical record
19		to why this a
20		MR.
21		MR. (
22		autopsy was co
23	A	I don't recal
24	Q	From a medical
25		the legal aspe

A	That is correct.
Q	One reason to do an autopsy would be to
	determine, I guess for lack of a better
	word, the precipitating cause of a death?
A	That's correct.
	MR. YOUNG: Are you talking about
	in general?
Q	In general. The poison or the bullet?
A	Yes.
Q	One reason to do an autopsy would be to
	determine the primary site of a suspected
	cancer?
A	That is correct.
Q	There might be legal reasons, as you said,
	to do an autopsy.
	Do you recall whether anybody told you
	or whether there was any reference in the
	medical records you reviewed at the time as
	to why this autopsy was being conducted?
	MR. YOUNG: Excuse me. What time?
	MR. OHLEMEYER: At the time the
	autopsy was conducted.
A	I don't recall specifically, no.
Q	From a medical point of view leave aside
	the legal aspects of this. From a medical

point of view, is there any reason or was there any reason to perform an autopsy on a patient like Mrs. Wiley?

MR. YOUNG: I guess I will object to that as asking for conclusions that other physicians might have had as opposed to what a pathologist might require.

Q I will rephrase the question. Let me back up. I take it you don't conduct, nor do you suggest that an autopsy be conducted on every death in this hospital?

 $$\operatorname{MR}.$$ YOUNG: I will object to the form of the question.

- A That is correct.
- Q From a medical point of view, the autopsy does not provide you or any other doctor with any information that you can use to treat -- I don't mean this to be facetious. But the autopsy isn't necessary to treat Mrs. Wiley?
- A That is correct.
- Q So from a medical point of view, separate and apart from any legal reasons or even any educational purpose that might be served by allowing someone to do an autopsy or to

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- A I understand your question now. No.
- Q Was a videotape prepared of the autopsy?
- A No, sir, it was not.
- Q Audiotape?
- A I'm trying to remember at that time what type of dictating equipment we had. I suspect that one was not. At least if it was, it probably has since been erased.
- Q Did Dr. Turner ever suggest to you, either specifically or in substance, that Mrs. Wiley's situation, her cancer and her death, presented an opportunity to either sue tobacco companies or to promote or highlight issues related to smoking and health?

MR. YOUNG: I will object to the form of the question and the lack of definition of "opportunity." It's also vague.

Q Let me rephrase the question. Did

Dr. Turner ever express to you the

importance, as you have used that word, of

this autopsy with respect to any kind of

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- A Yes, sir.
- Q What is it she said about that?
- A I don't recall her specific words, but that the information from this autopsy would be important in a suit.
- Q Beyond that, did she say anything about the importance of that civil suit in terms of its uniqueness or its precedence?
- A I believe at some time, although I don't know at what time, she said that this may be the first time there may be a suit regarding secondhand smoke.
- Q Do you remember when it was she might have said that to you?
- A I do not.
 - Q Would it have been at or near the time of the autopsy?
 - A It could have been.
 - MR. YOUNG: I will object. It's been asked and answered.
 - Q Your answer to that question was, "It could have been"?

Could have been. You described earlier for me some pressure 2 to get the autopsy done or complete the 3 report in March of '93. From where did that 4 5 pressure originate? 6 I don't recall specifically in this case. Α 7 Q Would it have been Dr. Turner or somewhere 8 else? 9 It may have been Dr. Turner. It may have 10 been the family. Q Have you ever spoken with Dr. Songer about 11 this patient, this autopsy, or this case? 12 13 To my recollection, no. Have you ever spoken with the Wiley family 14 Q 15 about this patient, this autopsy, or this 16 case? 17 To my recollection, no. Α Why don't we take a copy of that autopsy 18 19 report, Doctor. And I will ask you some 20 questions. Look at the section on the 21 heart. 22 Α Yes, sir. 23 Q Is it fair to say that based on the autopsy 24 findings, Mrs. Wiley had coronary heart 25 disease at the time she died?

1	А	These findings spea	k against	significant
2		coronary artery dis	ease.	
3	0	Do you see the refe	rence to	"less tha

- Do you see the reference to "...less than 25 percent stenosis of the left main, circumflex, left anterior descending, and right coronary arteries, secondary to atherosclerosis"?
- A Yes, sir.

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- Q You wouldn't characterize that as coronary heart disease?
- A I would characterize it as minimal coronary heart disease.
- Q Does it suggest an elevated cholesterol level?
- A It neither rules it in or out.
- Q Would one cause of that narrowing of the left main, circumflex, left anterior descending, and right coronary arteries, et cetera, be an elevated cholesterol level?
 MR. YOUNG: I will object to the

form of the question.

- Q A better question would be: Would an elevated cholesterol level be an etiology consistent with that pathological finding?
- A It would be a contributing factor.

- Q And do you know what it is that creates or elevates one's cholesterol level?
 - A Yes, sir, as a matter of fact.
 - Q What?

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- A Again, I laugh.
- Q I do too. I read this stuff about the President's cholesterol level thinking, "My God, I'm going to have a heart attack tomorrow."
- A Elevated cholesterol can be either primary, which means inherited, or secondary. Causes of secondary -- and I apologize if I'm sounding too glib, because I do this every day.

Causes of secondary elevation of cholesterol could be beta blocker drugs. It could be a drug like danazol. It could be progestins. It could be diuretics. It could be hypothyroidism. It could be liver disease. It could be kidney disease. It could be secondary to diabetes mellitus. It could be secondary to Cushing's disease.

- Q It could be secondary to a high fat diet?
- A That is correct.
 - Q I appreciate your comment. From time to

time, we do smile and laugh. And it certainly doesn't reflect any disrespect to the Wileys or Mrs. Wiley or the seriousness of the proceedings. But sometimes we have all been a little more familiar with these things than perhaps we should. But you shouldn't be embarrassed about that.

You see the reference to the lungs where it says, "The lungs and hilar nodes are not significantly anthracotic and there is no bollous emphysema." Do you see that sentence?

A Yes, sir.

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- Q Define "anthracotic" for me.
- A Anthracotic means containing a black pigment.
- Q And what is the significance of a remark that, "The lungs and hilar nodes are not significantly anthracotic"?
- A It would mean that the patient did not have significant contact with carbon particles.
- Q And I take it you as a pathologist use anthracotic generically to refer to something more than coal dust?
- A Not necessarily. When I speak of

anthracosis, I speak of the black carbon pigment found in coal dust or other sources.

That's my next question. What other sources are there of anthracosis is besides coal dust?

MR. YOUNG: Sorry, but the question I think is vague, whether you're asking him to list all the possible sources or what he might find when he is considering and using that term in a postmortem situation.

- Q In a postmortem when you note the absence of significant anthracosis in lung specimens, what are the potential sources of such anthracosis?
- A To my understanding, in addition to coal dust, cigarette smoke and I believe other industrial pollutants.
- Q Is anthracosis commonly found in the lungs of people who smoke or are exposed to industrial pollutants?
- A It is.
- Q What is bollous emphysema?
 - A Bollous emphysema refers to the abnormal dilatation of areas of the lung with the formation of sacs or bullae.

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- A One cause may be cigarette smoking, alpha 1 antitrypsin deficiency, and there are probably others.
- Q I'm smiling now because I had an hour and a half discussion with a doctor once about alpha 1 antitrypsin deficiency and its relationship to cigarette smoking.

Do the lungs of most cigarette smokers contain some degree of emphysematous changes?

- A It depends, as I understand it, on the amount of smoking exposure.
- You see where it says on the cut section description -- we've talked about this I think earlier -- there's a measurement of a tumor mass in the middle lobe, another mass in the right lower lobe, and a focus of consolidation in the right upper lobe?
- A Yes, sir.
- Does the report describe where the primary tumor is believed to be in the lung; that being the upper lobe, middle lobe, or lower lobe?
- A It does not indicate that specifically, no.

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Q	According to the	e report	or accord	ing to the
	description of	he obser	vations,	was the
	primary tumor is	n the rig	tht lung a	peripheral
	or ondobronabia	tumor?		

- A I think it would be difficult to determine that. For the mass in the middle lobe being so large, it probably involved the periphery to some extent as well as the central part of the lobe.
- Q So there is really no specific description in the report of whether the tumor was a peripheral or endobronchial tumor?

MR. YOUNG: I will object. I think that misstates his testimony.

- A That is correct.
- Q Am I correct, Doctor, that peripheral lesions in the lung can grow toward the bronchus and compress it?
- A That is correct.
- Q And can lesions in the hilar lymph nodes compress the bronchus?
- A That is correct.
- Q On bronchoscopy, can that phenomenon as I just described be mistaken for an endobronchial lesion?

1	A	That is beyond the realm of my expertise.
2	Q	Do you have any idea or any opinion as to
3		how long it would take a tumor in the lung
4		to grow to 17 centimeters in size?
5	A	Not offhand.
6	Q	Do you know whether it's a matter of days or
7		months or weeks or years?
8		MR. YOUNG: I will object. He has
9		already answered that question. He didn't
10		know.
11		MR. OHLEMEYER: I'm not trying to
12		put words in your mouth.
13	A	I would anticipate it could not grow that
14		large in days or even weeks.
15	Q	You see where it talks about the fluid in
16		the pleural cavities?
17	A	Yes, sir.
18	Q	It says approximately 1050 cc. on each side?
19	A	May I interject here? I think that is a
20		typo.
21	Q	That was my question. Go ahead and explain
22		it for me.
23	A	I believe if you read under Lungs, the
24		paragraph under lungs, in both pleural
25		cavities, there is approximately 100 cc. of

1		fluid. I have the feeling that the upper
2		number is probably mistaken.
3	Q	So it should be 100?
4	A	That is my interpretation.
5	Q	Can you explain that discrepancy?
6	A	Well, English
7	Q	It's not a transposition?
8	A	English was not this man's primary language.
9		So I think that may have been part of it.
10	Q	This man being Dr. Wolaniuk?
11	A	That is correct.
12	Q	Did he speak English?
13	A	Yes.
14	Q	Do you see in the pancreas, where it says,
15		"There is a possible metastatic tumor of the
16		peripancreatic lymph nodes identified"?
17		MR. YOUNG: Excuse me, where are
18		you?
19		MR. OHLEMEYER: Page 3, top.
20	А	Yes, sir.
21	Q	How was the determination made that tumor
22		was metastatic as opposed to primary to the
23		pancreas?
24	A	At that time based on the fact that the
25		tumor was smaller than the tumor in the

lung.

- Q So in the gross description, judgment was based on the size of the tumor?
- A That is correct.
- Q Grossly, is it difficult to distinguish between tumors in the peripancreatic lymph nodes and tumors in the pancreas?
- A Yes.
- Q And microscopically, was tumor actually found in the pancreas?
- A It was.
- Let me ask you this: I've got a reference -- and I will show you in a second -- to a progress note dictated by Dr. Turner in '93 about infiltration of pancreatic tissue. There is no mention in the autopsy report of infiltration of the pancreatic tissue, is there?
- A That is correct.
- Q Do you know why that finding wasn't described in the autopsy report?
- A That is an omission.
- Q Is it possible that Dr. Wolaniuk looked at the pancreatic tissue and then you looked at the slide later and observed the

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1		infiltration that perhaps he didn't see when
2		he looked at it?
3	A	I doubt it because at one point, we did look
4		at it together.
5	Q	Do primary lung cancers commonly metastasize
6		to the pancreas?
7	A	Yes.
8	Q	Do you have a reference or citation for me
9		on the frequency of that?
10	A	Not offhand.
11	Q	Do primary pancreatic cancers commonly
12		metastasize to the lung?
13	A	Yes.
14	Q	What is a more frequent observation, the
15		metastasis of cancer of the lung to the
16		pancreas or the metastasis of pancreatic
17		cancer to the lung?
18	А	I don't know.
19	Q	Do you know what CA15-3 is?
20	A	To the best of my knowledge, it is a tumor
21		marker, a substance found in the serum. It
22		can also be found on the cells themselves.
23		I do not believe it is specific for
24		pancreatic cancer but has been noted in
25		patients with pancreatic cancer.

1	Q	Has it also been noted in patients with
2		primary adenocarcinoma of the breast?
3	A	I don't know.
4	Q	Do you know is it possible for a patient to
5		have primary carcinoma of the breast without
6		palpable breast masses?
7	A	Yes, it is.
8	Q	Have you ever observed that in postmortem?
9	A	Not at postmortem. In living patients I
10		have.
11	Q	Do you know why the breast wasn't observed
12		microscopically?
13	A	Our usual procedure is not to unless there
14		is a compelling reason to suspect a breast
15		primary.
16	Q	What sort of reasons, short of and including
17		compelling reasons, would there be to
18		suspect breast primary that would suggest
19		you would section the breast?
20		MR. YOUNG: I will object to the
21		form of the question. I think it's
22		compound.
23	Q	I will rephrase the question. When are the
24		breasts sectioned? What is the history,
25		alimical history pathological findings or

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information you use to determine when to section?

- A If there was, for instance, a known -- let me put it this way: If there was a suspicion, a strong suspicion, there was breast carcinoma based on mammograms, based on family history or some other -- I will try and be more specific.
- Q What about a history of fibrocystic disease?
- A Not necessarily.
- Q What about a situation where the oncologist was treating the patient for a potential primary carcinoma of the breast?
- A I would if requested.
- Q And I take it the fact it wasn't done in this case suggests it was not requested?
- A That is correct.

MR. YOUNG: I will object. I think that misstates the testimony.

- Q Look at page 3, Doctor, where it says Adrenal Glands.
- A Yes, sir.
- Q I'm having trouble reconciling something on page 3 and page 4. I would like you to explain it to me. It says on page 3, "Two

adrenals are present and are slightly enlarged." Do you see that?

A Yes.

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- There are cortical nodules in both adrenals identified which also represent metastatic tumor." And that's the gross finding, right?
- A That is correct.
- Q On the microscopic report it says, "Sections of adrenal are unremarkable."
- A Yes, sir.
- Q That seems to me to be inconsistent.
- 13 A That is an inconsistency, sir.
 - Q And are there explanations for it?
 - A I think that there may have been nodules on the adrenals due to nodular hyperplasia, but not necessarily cancer.

In other words, these were interpreted initially as being metastatic. And obviously the gross was dictated at or shortly after the autopsy, the microscopic being dictated at the time the autopsy was done.

Q Is it possible for an organ to be grossly abnormal but microscopically abnormal?

- Isn't that what you just described for me as an explanation for this discrepancy?
- A It's possible that an organ may appear to be involved with neoplasm and, in fact, not be involved in neoplasm.
- Q Is it also possible that an organ can appear to be grossly normal but microscopically abnormal?
- A Yes.
- Q Is it possible that an organ can appear grossly abnormal but also appear to be microscopically normal because the technique or --
- A Sampling error, yes, sir.
- Q Sampling error?
- A Yes.
- Based on the information available to you,
 do you think there was or was not cancer in
 the adrenal glands in this case? Or can you
 just not say?
- A I can't really say.
- Q With respect to the kidneys, it says grossly the kidneys were normal?
- A That's correct.
- Q And microscopically, in fact, adenocarcinoma

- was noted in the left kidney?
- A That is correct.
- Q There's an example of a gross --
- A Normal gross.
- Q Normal gross and abnormal microscopic?
- A Yes, sir.
- Q How is it you determined at the point in time where that dictation was rendered that cancer of the kidney was metastatic as opposed to primary?
- A Based upon again the size, the fact that it looked like the tumor in the lung.
- Q Well, would a metastatic tumor in the lung look microscopically like it's a primary tumor?
- A In most cases. There may be --
- Q Leaving size aside, but just
 microscopically? I guess I have excluded
 size, because I have said microscopically.
 I cut you off. Go ahead, Doctor.
- A There may be some differences.
- Q For the most part though, is it fair to say that adenocarcinoma metastatic to the lung looks like adenocarcinoma from the primary site under the microscope?

- A In general, yes.
- Q Is it fair to say under the microscope, adenocarcinoma primary to the lung looks like adenocarcinoma metastatic to the lung?
- A That is correct.
- Q The spinal column reference, do you know or were you aware that in May of 1991, a myelogram and lumbar CT demonstrated bony destruction of the spinous process of L2 with associated soft tissue mass in that area with respect to Mrs. Wiley?
- A To the best of my knowledge, I was aware from the chart or from the interpretation of the chart by the resident.
- Q When would you have become aware of that?
- A At the time of autopsy or shortly before the time of autopsy.
- Q The spinal column though isn't mentioned in the gross or the microscopic, is it?
- A That is correct.
- Q Why?
- A I believe it is an error and omission.
- Q An omission in the execution of the autopsy or the dictation of the report?
- A In the dictation. The reason I say that is

that were

ted soft

s section,

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1	<u> </u>	because we have, of course, slides that w
2		taken I'm reasonably sure from the lumbar
3		spine.
4	Q	And slides that demonstrate cancer?
5	A	They do not demonstrate cancer.
6	Q	They demonstrate bony destruction of the
7		spinous process of L2 with associated sof
8		tissue mass?
9	A	The slides do not.
10	Q	What do the slides demonstrate?
11	A	The slides demonstrate absence of cancer.
12	Q	You see where it says in the Final
13		Pathological Diagnosis section
14		MR. YOUNG: Where?
15	Q	In the Final Pathological Diagnosis section
16		it says there is, "No pathological
17		diagnosis, " for the spinal column?
18	A	Yes.
19	Q	What does that mean?
20	A	That means there were no histopathologic,
21		gross, or histologic abnormalities of the
22		spinal column as examined at autopsy.
23	Q	Let me back up for a second, Doctor. You

That is correct.

and I talked about sampling techniques?

- Q As it may relate to variations in microscopic and gross?
- A That is correct.
- Q Describe that for me. I mean, explain it in a little more detail.
- A Well, I think that -- do you want it specifically regarding the spinal column?
- Q Just in general, the concept. I mean, if you were going to explain that to your class or to a class you were going to teach, what is it that you're talking about? And how does it account for those differences?
- A Well, one should try to sample something that is grossly abnormal or a part of an organ that is grossly abnormal. One should try to sample an area that doesn't appear too necrotic because this can create a difficulty in interpretation of the microscopic.
- Q And depending on how you do that, you may or may not prepare slides of abnormalities that exist?
- A Yes.
- Q Right?
- A That's correct.

Q	So I mean, I guess it's another way of
	saying that depending on how you prepare the
	slides, you may have either randomly or
	accidentally failed to include portions of
	the gross abnormalities?

A That is correct.

- Q And that can somehow lead to perhaps a discrepancy or an inaccuracy in your pathological diagnosis?
- A That's correct.

MR. OHLEMEYER: I have a couple of questions, and we will take a break here.

Let me mark this as the next exhibit in order. And this is a Pathologists

Associated Medical Lab preliminary autopsy report dated 6-24-91. I will mark this as No. 3.

(Defendant's Exhibit(s) 3 marked for identification.)

Doctor, I have marked as Exhibit 3 what appears to be another preliminary autopsy report dictated on 6-24-91 by Dr. Wolaniuk.

Can you explain for me what that is and how it compares or contrasts to what we have marked as Exhibit 1 that's in front of you?

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1	A	Well, the most obvious difference is the
2		preliminary autopsy report is in present
3	·	tense, whereas the final summary on page 5
4		of the final report is in past tense.
5	Q	Do you mind putting it here so I can share
6		with you?
7	A	No.
8	Q	Let me start with a couple of questions,
9		Doctor. This Exhibit 3 is not merely a cut
10		and paste of the first page of Exhibit 1, i
11		it?
12	A	No.
13	Q	I mean, it appears to have some of the same
14		information but also some different
15		information, right?
16	А	That is correct.
17	Q	Explain to me is that your signature or is
18		that a stamp of your signature?
19	A	That is my signature.
20	Q	Do you have a stamp of your signature that
21		you or your office uses?
22	A	Not here to my knowledge.
23	Q	The reason I ask, it almost looks like it
24		was stamped.

MR. YOUNG:

I will object to the

- argument. He said it's his.
- Q Do you remember signing this?
- A Do I remember the actual act of signing it?
- Q Yes.
- A No.
- Q Describe for me what this is and how it compares and contrasts both in terms of its preparation or substance in terms of Exhibit 1?
- A The preliminary autopsy report was prepared, again, in this case the same day as the autopsy was done and lists, again, the immediate cause of death, contributing conditions, a brief summary, based upon the gross findings only.
- Q Dr. Wolaniuk, I take it, does the gross?
- A Yes.
- Q And then quickly or as quickly as he can, does this have anything to do with preparing the death certificate?
- A Yes. As a matter of fact, I believe that I signed the death certificate.
- Q So you think Exhibit 3 was prepared in connection with the creation or preparation of the death certificate?

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1		MR. YOUNG: I will object to the
2		form of the question.
3	Q	That's my question. Is there something
4		about your procedure that connects this to a
5		death certificate?
6	А	Yes, because the transcriptionist uses the
7		information from this to prepare it.
8	Q	And at some point, Dr. Wolaniuk does a
9		little more detailed description of his
10		gross?
11	A	That is correct.
12	Q	And I suspect in Indiana, there's a line on
13		the death certificate that requires you to
14		fill out immediate cause of death?
15	A	There is.
16	Q	So at the point in time Exhibit 3 was signed
17		and prepared, the microscopy hadn't been
18		done?
19	А	That is correct.
20	Q	In general, is that a source of mistaken
21		information on death certificates because of
22		the need to fill out a cause of death before
23		you do your microscopy?
24		MR YOUNG: I will object That

calls for speculation, and it's overly

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- A It can be.
- Q And is that something that can affect the use of those certificates for epidemiological or statistical purposes?
- A It can be.
- Q Something you would want to control -- not you, but the people who do those studies ought to control for?
- A Yes.
 - Q Am I correct essentially then that

 Dr. Wolaniuk does the gross. And he looks

 for and finds what he believes to be

 adenocarcinoma of the right lung?

MR. YOUNG: I will object to you testifying, number one. Number two, it calls for the witness to speculate about what Dr. Wolaniuk has done or hasn't done.

MR. OHLEMEYER: I'll rephrase the question. Thank you, Counsel.

Q Dr. Wolaniuk hasn't done a microscopic of this tissue at this point in time, has he?

MR. YOUNG: Object to the form of the question. It's been asked and answered.

A That is correct, on the postmortem tissue.

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2		pathology reports of the premortem tissues?
3	A	That is correct.
4	Q	And so I take it at a minimum, what he is
5		doing is trying to correlate his
6		observations with the pathological diagnosis
7		in those medical records?
8		MR. YOUNG: Same objection.
9	A	That's correct.
10	Q	In an effort to provide information that is
11		required by law to be put in the death
12		certificate?
13		MR. YOUNG: Same objection.
14	A	That is correct.
15	Q	What are bilateral pleural effusions?
16	A	Accumulation of fluid in the pleural
17		cavities on both sides, right and left.
18	Q	What causes or what etiological factors are
19		associated with bilateral pleural effusions?
20	A	Cancers can cause pleural effusions, either
21		primary or metastatic. Congestive heart
22		failure can cause pleural effusions.
23		Infections can cause pleural effusion.
24	Q	Are bilateral pleural effusions associated
25		with a prior exposure to asbestos? Let me

Correct. He has available to him the

1	<u> </u>	rephrase the question.
2	A	I don't know.
3	Q	A better question would be: Are bilateral
4		pleural effusions a marker or biological
5		marker of prior exposure to asbestos?
6	A	It can be associated.
7		MR. OHLEMEYER: Let's take a short
8		break.
9		(Recess from 3:57 p.m. to 4:06 p.m.)
10	BY MR.	OHLEMEYER:
11	Q	Doctor, if you don't understand a question,
12		will you let me know?
13	A	Yes.
14	Q	As I understand it, Dr. Kocoshis, you are a
15		pathologist who lives [DELETED]
16		
17	A	That is correct.
18	Q	And you got your medical degree from Indiana
19		University School of Medicine in 1974?
20	A	That is correct.
21	Q	Can you describe for me your postgraduate
22		education.
23	A	I did two years of training in anatomic
24		pathology at St. Vincent Hospital in
25		Indianapolis, Indiana. After that, I did

two	year	s of	clir	nical	patl	holo	gy '	tra.	ining	at
Luth	eran	Gen	eral	Hosp	ital	in	Par	k R	idge,	
Illi	nois	. F	ollov	ving	that	, I	did	a ;	year	of
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- Q And describe for me what clinical pathology is.
- A Clinical pathology has to do with the management of the clinical laboratory which performs analyses on various specimens, including blood and urine, to aid in the diagnosis and management of patients. And I may also add interpretation of results in addition to actual management of the laboratory.
- Q And the interpretation of those results would include pathological diagnosis of cancer and other types of diseases?
- A That is correct.
- Q Are you Board certified in your discipline?
- A That is correct, in both anatomic and clinical pathology.
- Q Explain to me what a Board certification is.
- A That is a testament or a document stating that, guite frankly, you have minimal

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competence in a particular area of medicine. 1 And in your case, you have Board 2 Q certifications in both anatomic and clinical 3 pathology? 4 5 Α That is correct. Are you licensed to practice medicine in any 6 Q state other than Indiana? 7 I am licensed in Illinois. 8 Α And what is your current position? 9 0 I am a pathologist on the active staff of 10 Α Ball Memorial Hospital, in addition to 11 having staff privileges at other hospitals. 12 And that's here in Muncie? 13 Q Α Ball Memorial Hospital is here in Muncie. 14 How long have you had that position? 15 Q I have been on the staff here since August 16 of 1979. The first year it was called -- it 17 was not called active staff. It was called 18 some other name that I can't recall off the 19 top of my head. 20 The title? 21 Q The title. I did the same duties, but the 22 Α title was conditional or provisional staff. 23

the first year.

I don't remember exactly what it was called

- Q Your resume or curriculum vita suggests you were the Deputy Coroner of Marion County from December of '74 to January of '76?
- A Yes.
- Q What is a deputy coroner and what did you do as a deputy coroner?
- A The coroner is someone who determines the cause of death in cases in which there may be a question. Obviously, according to English common law, it has many further ramifications. But basically in modern times, this term unfortunately or fortunately has intertwined with the term "medical examiner."
- Q For a period of two years, you were the deputy medical examiner in Marion County?
- A That is correct. My duties with regard to that were more in terms of gathering data, documentation, so forth. I did very few, if any, true coroner autopsies during that period of time.
- O I take it the coroner did that?
- A Right, or his designee.
 - Q Describe for me any professional societies or organizations you belong to.

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A	I'm	a	Fellow	of	the	American	College	οf
	Path	01	ogists.	,				

- Q Is that the highest honor as it were that pathologists in this country can obtain?
- A That's a difficult question to answer.
- Q Is the College of American Pathologists the preeminent or the foremost organization of pathologists in this country?
- A That in combination with the American Society of Clinical Pathologists.
- Q And you are a fellow in both organizations?
- A That is correct.
- Q And what other professional societies and organizations?
 - A I'm a member of the county medical society;
 the state medical association, Indiana State
 Medical Association; the American Medical
 Association, the Indiana Association of
 Pathologists.
 - Q American Society for Microbiology?
- A That is correct.
 - Q I notice that you have published a couple of papers or three that deal with hematology.
 - A That's correct.
 - Q And one of your coauthors has been a

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Dr. Triplett?

- A That's correct.
- Q Is Dr. Triplett a pathologist?
- A Yes, sir.
- Q Is his specialty hematology?
- A That is correct.
 - Q Dr. Kocoshis, you told us earlier that you had some meetings with Mr. Young and had reviewed some material that he provided to you.
 - A Yes, sir.
 - Q Do you expect to bill him for your time in connection with that?
- A No, I do not.
 - Q Have you submitted or do you expect to submit a bill to Mr. Young for any time you have spent in connection with this lawsuit?
 - A I do not facetiously unless my partners twist my arm or something.
 - Q Do you know or do you have any expectation as to whether it is the intention of any of Mr. Wiley's attorneys to have you come testify at trial in this matter?

Let me rephrase the question. Have any of the attorneys that represent Mr. Wiley

- asked you if you are available to testify at trial in this matter?
- A To my knowledge, no.
- Q Do you know whether or if they have listed you as a witness whom they may call to testify at trial in this matter?
- A I do not know that information.
- Q Let's talk about conversations with Dr. Turner. Let me focus your attention on the spring of 1993. Do you recall having a discussion with Dr. Turner about the primary location of Mrs. Wiley's tumor in relation to the possibility that it might be a primary breast or primary pancreatic tumor?
- A Your question is did we discuss that?
- Q Yes, do you recall discussing with Dr. Turner?
- A Regarding that specifically, no, I do not.
- O Do you recall discussing with Dr. Turner -well, I guess I ought to say: Do you
 generally recall discussing with Dr. Turner
 the possibility that the cancer observed in
 Mrs. Wiley's lung was a primary carcinoma of
 the lung as opposed to a metastatic breast
 or pancreatic cancer?

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- 1 Α Let me answer by saying I would normally do 2 that. What do you mean by "normally"? 3 Q My usual and customary practice is to say 4 Α 5 there is tumor in these organs. We will have to see the microscopic. I think it's 6 7 probably primary in this location rather 8 than this location because of, et cetera. 9 Q 10
 - Do you recall discussing with Dr. Turner the possibility that Mrs. Wiley suffered from a primary adenocarcinoma of the breast or pancreas given her elevated level of CA15-3?
 - Α To my knowledge, I do not recall any such conversation.
 - Q That's not to say it didn't happen; you just don't remember?
 - Right. Α
 - Do you believe, Doctor, it would be unusual to find tumor in a peripancreatic lymph node without finding a primary pancreatic tumor?
 - A No.
 - What would account or explain a tumor in a Q peripancreatic lymph node in the absence of a primary pancreatic tumor?
 - А It can occur due to -- well, metastasis from

a primary lung, for instance. If there has been invasion or involvement -- invasion is a better term -- of the thoracic duct which carries lymph from the lower part of the body to the upper part of the body. It could move in a retrograde fashion to the peripancreatic concern.

- You say retrograde movement. Is that the typical movement or the atypical movement?
- A Atypical movement.
- Q Do you recall discussing your impressions of or sharing your impressions of the slides prepared at Mrs. Wiley's autopsy with Dr. Turner around May of 1993?

MR. YOUNG: I will object. That's been asked and answered and covered earlier.

- A I believe we're dealing with March of '93, aren't we?
- Q Yes. I guess the time isn't as important to me as generally I just want to redirect your attention to do you recall having a discussion with Dr. Turner in the spring of '93 about your impressions of those slides?

MR. YOUNG: I will object.

A Specifically dealing with the impression of

the slides, I don't recall.

Q Let me ask a better question. Dr. Turner has told us --

MR. YOUNG: Why can't he finish?

MR. OHLEMEYER: Go ahead. I'm

sorry.

- A I'm saying that I'm assuming I had this conversation based upon that bibliography which I provided for her. But the tenor of that conversation I don't remember.
- Q Dr. Turner has provided us with a recollection as it were of a conversation she had with you in May of '93 in which you and Dr. Turner discussed the question as to whether this was a primary pancreatic or primary breast or primary lung carcinoma.

In her description of that conversation, she describes your observation of infiltration of pancreatic tissue in those slides.

A Yes, sir.

MR. YOUNG: I will object. Let me object because I think you're done with that question?

MR. OHLEMEYER: Yes.

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	MR.	YOUNG:	I th	nink	that's	an	
incomplet	e r	ecitation	of	the	testimo	ony	of
Dr. Turne	r.						

- Q Be that as it may, Dr. Kocoshis, we have already established you observed an infiltration of the pancreatic tissue in the slides?
- A That is correct, sir.
- Q That observation is not contained in the autopsy reports we have marked as Exhibits 1 or 3?
- A That is correct.
- Q And do you recall whether or if you observed tumor involvement in the islets of Langerhans in that pathology material?
- A Your question is do I recall seeing tumor?
- Q Did you observe tumor involvement of the islets of Langerhans?
- A Based upon my most recent review of the slides -- can I start again?
- Q Sure.
- A Are you asking me did I know it back then?
- Q Let me ask you this: Have you ever observed tumor involvement in the islets of Langerhans?

1	A	No.
2	Q	In your opinion, is there tumor involvement
3		in the islets of Langerhans that is
4		demonstrable in the pathology slides taken
5		from Mrs. Wiley's autopsy?
6	A	Not in the islets of Langerhans, but around
7		them.
8	Q	So there is involvement around them?
9	A	Yes, sir.
10	Q	What is the significance of that?
11	A	That would mean that this is probably not a
12		primary tumor of the endocrine portion or
13		the islets of Langerhans portion of the
14		pancreas.
15	Q	Which is to say that is one source of a
16		primary pancreatic cancer, but not the only
17		source?
18	A	Correct.
19	Q	Does it suggest a source somewhere else in
20		the pancreas? I mean, does it make it more
21		or less likely there could be a primary
22		tumor somewhere else in the pancreas?
23		MR. YOUNG: I will object to the
24		form of the question.
25	A	I don't know the answer to that.

- Q Did you observe any tumor involvement in the pancreatic deducts when you reviewed these slides at any point in time?
- A Not to my recollection.
- Q What would the significance of that observation be? Ductile involvement in the pancreas?
- A Ductile involvement, I believe, would be more consistent with a primary carcinoma of the pancreas -- not exclusively, but more consistent.
- Q In Exhibit 2, there is a letter that you wrote to Dr. Turner dated May 13, 1993. And I will hand it to you. And it says that you observed, "...no evidence of pre-existing pulmonary scars, which are claimed to be predisposing factors for the development of adenocarcinoma of the lung."
- A Yes.

- Q Do you recall how it came to be that you either made that observation or reported it to Dr. Turner?
- A I believe I made this statement based upon reviewing the gross protocol -- well, and the microscopic slides and not seeing scars.

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1	Q	If there were granulomatous scars present in
2		her lung tissue but not sectioned for the
3		microscopy, obviously, you wouldn't have
4		observed it?
5		MR. YOUNG: I will object to the
6		form of the question.
7	Q	Is it possible, Doctor, there were
8		granulomatous scars present in the lung
9		tissue that were not included in the
10		sections prepared at autopsy?
11	A	That is possible.
12	Q	Do you know whether there were radiological
13		or X-ray reports that described
14		granulomatous scarring in Mrs. Wiley prior
15		to her death?
16	A	I believe well, I believe I have seen
17		radiological reports of scarring, although I
18		can't remember the details.
19	Q	When and how and where would you have seen
20		them?
21	A	I believe Mr. Jim Young brought to my
22		attention an MRI. And, again, I'm not

that observation?

I take it Dr. Turner requested that you make

certain.

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- A That is correct.
- Q Did she tell you why she wanted you to do that?
- A My understanding or my recollection is that she wanted to rule out as many or narrow down the possible causes of the adenocarcinoma of the lung.
- Q For what purpose?

MR. YOUNG: Sorry, are you asking what she told him?

- Q Did she tell you why she wanted it done?
- A I don't recall.
 - Q Did you form any impression or understanding as to why she was asking you to do that?
 - A My impression was that she wanted or she -well, she wanted to establish exposure to
 smoke as a --
 - Q Cause of the cancer?
 - A As a cause of the cancer by ruling out other potential causes.
 - Q What does the phrase "diagnosis of exclusion" mean to you, if anything?
 - A It means when eliminating possible causes, one is left with the only potential cause or probable cause.

Q It's a process by which you exclude other potential causes in an effort to establish a possible etiology?

MR. YOUNG: I will object to the form of the question which is really not a question but testimony.

- A That is correct.
- Q Without actually demonstrating or establishing specific facts that prove the etiology?

MR. YOUNG: Same objection.

A Exactly.

- O I will hand you a letter written on

 November 24th from you to Dr. Turner. It's

 contained in Exhibit 2, a copy to Douglas

 Triplett -- it's November 24, 1993 -- where

 you describe your examination of iron stains

 of four sections of lung on the autopsy.
- A Yes, sir.
- O First of all, did you do the iron stains?
- A Yes, I did.
- Q At whose request?
- A My understanding is it was Dr. Turner's request.
 - Q Do you know why weren't stains like that

done	in	1991?
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- A Apparently there was no concern at that time. First of all, backing up, I don't routinely do iron stains on every autopsy.

 And at that time, specifically 1991, I don't think there was a reason to suspect the presence of asbestos bodies.
- Q Do you routinely review pathology material from a two-year-old autopsy to look for pulmonary scarring?
- A No.
- Q So is it fair to say that your postmortem involvement in this case was unique?

MR. YOUNG: I will object.

 $$\operatorname{MR}.$ OHLEMEYER: Let me rephrase the question.

- Q Was your postmortem involvement with

 Dr. Turner in connection with this autopsy
 typical or atypical?
- A Atypical.
- Q What technique did you use to iron stain the lung tissue?
- A The Perls' Prussian blue stain. I believe that's P-E-R-L-S'. That's the name of the chemical reaction. The specific name of the

technique is probably in the histology procedure manual.

Q How sensitive is that technique for quantifying the concentration of asbestos in lung tissue?

MR. YOUNG: I guess I will object to that. That's kind of a vague question.

- A May I ask relative to --
- Q I will ask a different question. Is the purpose of that staining to detect the presence of asbestos bodies or to quantify the concentration or correlate that presence with a presumed concentration of asbestos bodies in the lung tissue?

MR. YOUNG: I will object to that question. That assumes that the answer is only one of two of those, one of those two.

 $$\operatorname{MR}.$ OHLEMEYER: I will rephrase the question.

- Q Doctor, what did you understand the purpose of that exercise to be?
- A To determine a semi quantification of the number of asbestos bodies.
- Q And what, if anything, did Dr. Turner tell you she or anyone else wanted to do with

that information?

- A As I recall, if the asbestos bodies were present in unusual amounts, this would or could point to asbestos as a possible etiology of the cancer.
- Q Can you describe for me the technique you used to examine the lung tissue under the microscope.
- A Well, I can describe what I should have done according to Dr. Roggli. But I don't remember exactly.
- Q So as we speak, you don't know how much of the entire section was screened under the microscope, do you?

MR. YOUNG: Object to the form of the question.

- A In all honesty, I cannot remember.
- Q And you don't know whether you reviewed the sections on the microscope at moderately high magnification, 200x or higher?
- A I can say for almost a certainty I did not examine under 200x because I don't have that particular setting on my microscope.
- Q Did you suggest to Dr. Turner that lung tissue, wet tissue, could be sent to

1		Dr. Roggli for asbestos body quantification?
2	A	Yes, I did in one of my letters, I believe.
3	Q	What was your understanding of who
4		Dr. Roggli was and what asbestos body
5		quantification was and why or whether it
6		required wet lung tissue at that time?
7	A	Based upon a book I have called "Directory
8		of Rare Analyses." I would be happy to
9		provide that for you. I have a copy of the
10		latest edition in my office.
11	Q	What is it about that book? Do you look up
12		asbestos?
13	A	Exactly.
14	Q	And it tells you what to do?
15	A	Right.
16	Q	So that's not something you as a pathologist
17		had done before, that being what is commonly
18		referred to as a fiber burden analysis?
19		MR. YOUNG: I object to the form of
20		the question.
21	А	Have I done it before? I'm trying to
22		remember if I have ever done it before. I
23		don't recall having done it before.
24	Q	You don't do it routinely?
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I certainly don't do it routinely.

Q	If you wer	re going	to do i	t today	or in '93	ι,
	you would	go to a	text to	inform	yourself	as
	to how it	should b	ne done?	•		

- A Yes.
- Q I am asking because off the top of your head, you couldn't go down to the lab and do one without doing a little research on it?
- A As a matter of fact, we don't do the test at all here.
- Q You send the tissue to someone else?
- A Someone else. And I would have to find out the specimen requirements.
- Q Did your review of the iron stains eliminate the possibility of significant asbestos exposure in Mrs. Wiley's case?
- A That's beyond the realm of my expertise.
- Q Doctor, a couple more questions. Do you know why -- I guess my question is: Do you know whether the type of analysis we have been talking about that you would send off to Duke or to Dr. Roggli requires wet tissue as opposed to dry tissue?
- A I do not.
- Q Do you know how to assess the significance of any such finding after somebody does that

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kind of analysis? I mean, do you know if you sent the tissue off and they sent you back the results and said there are so many fibers per gram of wet tissue, would that number have any meaning to you?

- A No.
- You mentioned at some point in this discussion of missing blocks?
- A That is correct, sir.
- Q Describe and explain that for me.
- A There are four blocks which are missing.

 And, again, I'm determining that from
 exclusion in one of the exhibits, there is a
 list of blocks. And is it 3, 4, 7, 8 or
 something like that that is missing and was
 missing as of the date that they were sent,
 that those blocks were sent. The remaining
 blocks were sent.
- Q They were missing at some point. When did you determine they were missing?
- A I don't recall exactly. The first time I noticed they were missing, I did -- I knew they were missing when they were sent in 1995, I believe, to Robert A. Cash.
- Q So at the point where Robert A. Cash came

with the authorizations to obtain the pathology material, you had already determined that those blocks were missing?

- A That is correct.
- Q From where were those blocks taken in the body?
- A They were lung tissue as well as I believe there was some pancreas on one of the blocks.
- Q I may have asked you this, but I want to make sure the record is clear. Do you know when those blocks were last accounted for?
- A The only thing I can say is they were accounted for at the time that some of the slides were made because we have the slides but not the blocks. And I don't know -- I'm assuming it had to be before March 18th.

 But what happened between March 18th and after, I don't know.
- Q Do you know whether anyone sent those blocks off to have the tissue analyzed for the presence of asbestos?
- A I don't know for a fact, but it is possible.
- Q Did you ever discuss that with Dr. Turner or anyone else?

- A Yes, I did.
- Q And what is it that you recall about that discussion?
- A Well, I admitted to my chagrin that I didn't have the blocks. And I didn't know what had happened to them.
- Q What did she tell you about the blocks?
- A As I recall, she said well, she wasn't sure about what happened to them either.
- Q Did she suggest to you that someone had either requested or intended to send them off for some sort of analysis?
- A Not to my recollection.
- Q Do you know anything, Doctor, about the analysis of genetic mutations and their relationship to the diagnosis of disease or the determination of its etiology?
- A No, sir.
- Do you as a pathologist observe any pathological changes in the lungs of cigarette smokers that you attribute to their cigarette smoking besides those we have already talked about, anthracosis or bollous emphysema?
- A May I clarify the question? As a direct

result of their smoking? Although I don't understand the 0 distinction between indirect and direct.

Take your time.

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- Α Microscopically, one can see what we call macrophages that contain pigment within the alveoli. I do not know, however, whether this may be also caused by other factors.
- Q So if you looked at that under a microscope, you wouldn't say to yourself, with a reasonable certainty, this person has a history of cigarette smoking?

MR. YOUNG: I will object to the form of the question.

O Let me rephrase the question. understand things and I were a pathologist, I could look under a microscope at lung And if I detected the presence of tissue. diffuse interstitial fibrosis in proximity to a certain number of asbestos bodies or uncoated fibers, I would render a pathological finding of asbestosis.

MR. YOUNG: I will object to your question.

Is that a fair characterization of the

process that a pathologist would undergo to diagnose asbestosis?

- A Yes, sir.
- Q Now, a radiologist has a different criteria?
- A Yes, sir.
 - Q And a clinician may have a different criteria to diagnose asbestosis in an individual?
 - A Yes, sir.
 - Q But as a pathologist, there are things you do to render that diagnosis. Is there anything you as a pathologist can do like that that results in a reasonably certain diagnosis of a history -- I guess the step I left out is if you diagnose asbestosis, you are presumptively diagnosing a prior history of exposure to asbestos?
 - A Yes, sir.
 - Q At a level sufficient to cause asbestosis?
 - A Yes, sir.
 - Q Is there anything you as a pathologist can do with pathology material from a cigarette smoker that allows you, without knowing anything else about the person upon whose tissue you are observing, to conclude with

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reasonable certainty I'm looking at lung tissue from a cigarette smoker?

A In my opinion, no.

MR. OHLEMEYER: Doctor, I believe those are all the questions I have. I appreciate your patience. Thank you.

DIRECT EXAMINATION (continuing)

BY MR. WAGNER:

Q Doctor, my name is Richard Wagner. We were introduced before. I'm one of the attorneys representing R.J. Reynolds Tobacco Company in the case. And I need to find Exhibit 2.

One question I had about the missing slides was: Do I understand that all of those slides were specimens taken at autopsy?

- A May I make a correction?
- O Sure.
 - A Missing blocks, paraffin blocks.
 - Sorry, I misspoke. With respect to the missing paraffin blocks you have been describing for us, do I assume correctly all those were specimens taken at autopsy?
- A That is correct, sir.
 - Q And in Exhibit 2, there is a note here -- I

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guess I'd call it that -- dated September 14, 1993. And it says, "A set of slides on autopsy #32-91, Mildred Wiley, was released to Dr. N.C. Turner on September 14, 1993, for delivery to Young and Riley, Attorneys at Law. The release from the patient's family is filed with the autopsy.

Dr. Douglas W. Shevlin reviewed the slides

Dr. Douglas W. Shevlin reviewed the slides before release." And typed is the name Nancy Roderer, Word Processing, correct?

- A Yes, sir.
- Q And she was the person who handled this?
- A Yes, sir.
- Q And she is a secretary?
- 5 A Yes, sir.
 - Q And she works for you as well as other people?
 - A That is correct.
 - Q Were the paraffin blocks missing when this set of slides was sent on September 14, 1993?
 - A I don't know for a fact unless a -- if a complete set of slides were sent at that time, then my assumption is that the blocks were in our possession shortly before, since

the slides had to have been made from those blocks. And if a complete set of slides were sent, then the complete set of blocks were present.

- Q Okay. Let's back up for just a moment.

 Part of this is my ignorance of the process

 here. Slides are made from the blocks?
- A That is correct, sir.
- Q And in the case of a request for a set of slides such as depicted in this memorandum dated September 14, 1993, do I assume correctly that slides would have been made from those blocks to send?
- A In most cases, yes, sir.
- Q That would be the typical routine?
- A That is correct, sir.
 - Q With that understanding, tell me again whether or not you believe that the blocks were or were not missing at that time?
 - A Provided a complete set of slides were sent, which I can't tell from that letter, then a complete set of blocks were present.
 - Q Okay. There is now, of course, a set of slides which you have reviewed from time to time over the last couple three years,

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A	I have not reviewed slides since at least
	1995. The slides in Mr. Jim Young's
	possession, there are two sets as I recall.
	They were complete. And I don't know the
	origin and the fate of those particular
	slides.

right, that pertain to Mildred Wiley's case?

- When you say they were complete, do you mean that the set of slides in Jim Young's possession contain slides taken from the missing paraffin blocks?
- A That is correct.
- Q I think you said the last time you examined the set of slides was 1995; is that right?
- A That would have been the last possible time it could happen.
- Q And at that time, to the best of your recollection, there were slides in the set that you examined that were taken from the missing paraffin blocks?

MR. YOUNG: I think that misstates what he said. So I will make that objection.

A I'm trying to remember the last time I looked at the slides if there was a complete

set that I looked at. I believe that the last time I may have looked at the slides, whatever was in our possession at that time was not complete.

And I asked to see the blocks or asked what happened to the blocks? I believe that was the point at which I discovered that the blocks were missing.

- Q And the set of slides you are referring to that you examined on this occasion that you believe were incomplete were incomplete because of what?
- A They were not in sequence. For instance, as I mentioned I believe 3, 4, 7, and 8 or something like that.
- Q Let me ask you this: Was that set that you believe you examined that was incomplete, incomplete because there were not slides obtained from the missing paraffin blocks?
- A As best as I understand.
- But then just for clarification, there's a set of slides presently here, here being wherever you have access to them. And where are those physically?
- A The slides are kept -- well, there's a

warehouse.	Let	me ba	ack up	a	little	bit	. Ir
the basemen	t of	this	hospi	tal	, autoj	psy	
slides are	gener	ally	kept	for	a numl	per	of
years along	with	the	paraf	fin	block	з.	Does
that answer	your	ques	stion?				

- Q Yes. And so it's that set of slides that you described for me that you have looked at last?
- A Those slides are no longer there. I want to clarify that.
- Q Where are they?
- A I believe those are the slides -- well, I'm not sure where they are.
- Q I guess one of the questions I have in my mind is how many sets of slides are there?
- A I don't know. I don't know.
- Q But you told me earlier -- and you correct me if I am wrong -- that you believe that the set of slides that Jim Young has are complete and contain slides taken from the missing paraffin blocks?
- A That is correct.
- Q But you believe that the set you looked at last, which you think may have been about 1955, were not complete because slides were

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1		not present that could have been taken from
2		the missing paraffin blocks?
3	A	That is correct.
4		MR. OHLEMEYER: For the record, I
5		think you misspoke. I believe it's 1995.
6		MR. WAGNER: What did I say?
7		MR. OHLEMEYER: 1955.
8		MR. WAGNER: Correct my question to
9		1995.
10	Q	So with that correction, what I just said is
11		correct; is that right?
12	A	To the best of my knowledge.
13	Q	Also in Exhibit 2 is a two-page document
14		that you have I think previously
15		identified let me show it to you for a
16		second as a search you made for
17		Dr. Turner?
18	A	That is correct.
19	Q	And what were you searching for?
20	A	The relationship of environmental tobacco
21		smoke and lung cancer.
22	Q	Just for the record, since this doesn't have
23		a separate exhibit number attached to it,
24		this is a two-page printout. It has a date
25		at the top of May 3, 1993, correct?

- A That is correct.
- Q And it has your handwriting at the top,

 "Nicki, for your information. Thanks, Tom

 Kocoshis," correct?
- A Correct.
- Q Did Dr. Turner ask you to make this search for her?
- A My assumption is that she did.
- Q And would she have asked you to make that search for her, say, within two weeks or so of May 3, 1993?
- A Probably, yes, sir.
- Q Did she tell you why she wanted you to make this search?
- A I don't recall, sir.
 - Q How did you get the information that's in these two pages?
 - A I asked the medical librarian. Actually, I filled out a form requesting a literature search. That would have been my usual procedure. And the medical librarian obtained this from I believe it's called Midline or one of the others.
 - Q Why was it Dr. Turner asked you to do that?

 MR. YOUNG: I will object. It asks

him	to	speculate	about	what	Dr.	Turner
thoi	ıaht	Ξ.				

- A I don't recall.
- Q Was this something she could have done herself?
- A Yes.
 - Q Did you actually obtain any of the articles that are described in these two pages?
- A I don't believe I did, sir.
- Q Did Dr. Turner ever tell you what she intended to do with the information that's in those two pages?
- A No, sir.
 - Q From anything that she said, did you form any impression as to what she intended to do with the articles set out on those two pages?
 - A My assumption is it was regarding the impending suit.
 - Q The lawsuit she was working on?
- A That is correct, sir.
 - Now, as you previously testified, the microscopic examination of the autopsy specimens took place on March 18, 1993, right?

- A Or shortly before, yes, sir.
- Q Or shortly before. Within a few days one way or another of that particular date?
- A It would have been before, yes.
- Q It would have been before?
- A Yes.
- Q Do you remember what it was that caused that microscopic examination to be made some time after the gross examination report dated

 June 24, 1991?
- A I do not recall the specific precipitating event.
- Q Do you recall in general what the precipitating event was?
- A Usually it's due to a request from either the family or parties involved, interested parties, to have the autopsy finalized.
- Q Well, do you have an independent recollection as you sit here today what the precipitating event was that caused that microscopic examination to be made?
- A No, I do not, sir.
- Q I notice in Exhibit 2, Doctor, that there's a letter to you from Attorney Tom Young dated April 29, 1993.

- A Yes, sir.
- And he encloses a medical authorization which authorizes you to discuss the matter with him. He refers to an autopsy number. He says that his understanding is you will call him. And he says, "We may bring suit against the tobacco companies. It is Dr. Turner's opinion that Mildred Wiley's cancer was caused by her exposure to second-hand smoke. Our concern is that your opinion, based upon reasonable medical certainty, is consistent with her opinion," and so forth. Do you recall this letter?
- A Yes, I do, sir.
- Q And this letter is dated April 29, 1993, which would indicate to me you would have received that a short time before the date of the microscopic examination of the autopsy which is March 18, 1993. Would that have been the precipitating cause?

MR. YOUNG: I will object. You said before March? April is after March.

Q Sorry. I have misspoken. I have got my dates mixed up here. Let me withdraw that question.

The letter from Tom Young I just described is dated April 29, 1993. The date of the microscopic examination was March 18, 1993, or thereabouts, correct?

- A Yes, sir.
- Now, was the fact that the microscopic examination might have had anything to do with the lawsuit something that would have precipitated the microscopic examination?
- A It could have.
- Q Do you have any kind of a recollection, now that we have focused a bit on Mr. Young's letter and all that, it was in fact a precipitating cause?
- A I don't have any specific recollection, sir.
- I want to review my notes here a minute and ask you some questions about some of the matters that you discussed with Mr. Ohlemeyer. So bear with me for just a moment.

Did you ever examine microscopically any of the pathological specimens that were obtained during the time Mildred Wiley was alive?

A I have.

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1	Q	Tell us what you have done in that respect,
2		if you can recall.
3	A	To the best of my recollection, the first
4		time I saw those specimens was I believe a
5		few weeks ago when Mr. Jim Young brought the
6		slides to my office; and I looked at them
7		personally at that time.
8	Q	Do you recall which specimens you looked at?
9		Was it all of them?
10	A	I looked at every slide.
11	Q	So you looked at all the brushings and the
12		washings?
13	A	Yes, sir.
14	Q	And the biopsies and so forth?
15	A	Yes, sir.
16	Q	Did you have a discussion with Mr. Young
17		about what your observations were?
18	A	Yes, I did.
19	Q	Did you meet with Mr. Young and discuss
20		those observations on more than one
21		occasion?
22	A	The second time was shortly before this
23		deposition began.
24	Q	And the first time was a few weeks ago?

Yes, sir.

- Q Was Mr. Young present when you were examining the specimens?
- A That is correct, sir.
- Q You were looking through the microscope and you were telling him what you were seeing?
- A Yes, sir.

- Q Can you relate for us the substance of what that conversation was?
- As I recall, I remember seeing various slides. I remember that there was one set of slides from Daviess County in Indiana that somehow seemed a little bit odd. It may have been a salivary gland or some other tissue that was not related to the case.

 And I questioned what was the story behind this, those particular slides.
- Q Sorry, I don't mean to interrupt. Continue, please.
- A Mr. Jim Young did not know why those particular slides were mixed with the other slides. The slides from Daviess County were mixed in with the slides from Marion and from Ball Memorial Hospital.
- Q Continue on and tell me again the substance of what your conversation was with Mr. Young

A I looked at some pap smears. I remarked -I don't recall my exact words, but in
general -- that I didn't see anything
unusual. These were pap smears of vaginal

on this occasion while you were examining

these slides.

I didn't see anything unusual in those.

I do remember seeing the various cytology specimens and surgical pathology specimens. I don't remember what I told Mr. Young, but I do remember thinking that they seemed to be consistent with what I had found at autopsy.

or cervical pap smears. I recall mentioning

- When you say they were consistent with what you found at autopsy, more specifically, how were they consistent with what you found at autopsy?
- I felt that they represented malignant cells that were consistent with an adenocarcinoma, which is what I had found at autopsy.
- You also have in Exhibit 2 copies of the pathological reports that were generated by the pathologists who examined those same pathological specimens that were taken

during Mrs. Wiley's lifetime; is that correct?

A That is correct, sir.

Q Let me ask you this question just as a sort of general matter. From your examination of those pathological specimens, did you see anything that was inconsistent with what was in the pathological reports, the written reports?

MR. YOUNG: I will object. That's pretty nonspecific as to which ones you are talking about.

- A I don't recall any specific inconsistencies between what I had seen and what the reports had stated.
- Q Do you recall any general inconsistencies or any inconsistencies even though you wouldn't consider them to be specific?
- A No, sir, I don't recall any.
- Q What else did you and Mr. Young talk about on that occasion?
- A We discussed, as I mentioned before, the definition of expert witness. I stressed to Mr. Jim Young that I considered my expertise limited to certain areas. We tried together

to define what those limitations were. We discussed my rights as a witness, what I could or could not do during a deposition.

I believe Mr. Jim Young asked me at some point, the etiology, my opinion as to the etiology of the adenocarcinoma in the lung. And I told him that I felt that it was beyond the realm of my expertise.

- Q Have you now related to me the substance of what your conversation was with Mr. Young on that occasion?
- A Pretty much.
- Q Let me go back. These slides that you examined on that occasion, some of which you said came from Daviess County, do you mean from Daviess County Hospital?
- A Yes, sir.
- Were those slides of specimens taken from Mildred Wiley?
- A I don't know that, sir.
- Q Were they identified in some way that you could look at them and say they were from Daviess County Hospital?
- A They were labeled as to Daviess County

 Hospital. There was also a number, a

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section number on there.

- Q So there was a number, and Daviess County
 Hospital was indicated. But they didn't
 have the name Mildred Wiley on them?
- A I don't recall.
- Q Was it represented to you that they were tissue specimens or specimens of some kind taken from Mildred Wiley?
- A That is the impression that I had.
- Q And can you tell us, please, more particularly what kind of pathological specimens these were, from where they were taken, and that sort of thing?
- A I want to say salivary gland, but I'm not -my recollection is a little bit foggy. I do
 recollect they were not related to lung or
 directly at least to lung or pancreas.
- Q Did you say to Mr. Young while you were with him on that occasion, "Where did you get these slides?"
- A Yes, to that effect.
- Q That's what I would have thought. And what did he say?
- A He said, "I don't know." As I recall, he was uncertain as to their source.

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Q	Did he re	epresent	to you	in some	way tha	at
	they were	e patholo	gical	specimen	s taken	from
	Mildred I	Wilev?				

- A The only representation was they were in the same box as slides that either were labeled with Mrs. Wiley's name or section numbers for which I had reports.
- Q Did you see any abnormalities in those Daviess County Hospital slides?
- A As I recall, I did see an abnormality.
- Q Can you tell us what that was?
 - A I believe, if memory serves me correctly, there was a neoplasm.
- Q In the salivary glands?
- A Yes, sir.
 - Q Did these slides have a date on them or anything that indicated to you the date of the specimen?
 - A I believe there was a section number.
 - Q I don't know enough about that. Can you tell me does a section number tell you a date?
 - A It usually does. It will have a number like 500-95, the assumption being 95 being 1995 and 500 being the 500th slide or case in

that particular year.

- Q Taken by a particular hospital or facility?
- A Correct.

- Q And do you remember from what you looked at on that occasion, or any other occasion, what the date was of those Daviess County slides?
- A I don't remember the date. It seems to me it was quite -- it was a number of years ago.
- Q And when we speak of Daviess County

 Hospital, we're talking about Daviess

 County, Indiana?

Do you know there is a Daviess County Indiana?

- A I am sure there is a Daviess County,
 Indiana. I know there is a Jo Daviess
 County, Illinois. Who knows how many
 Daviess Counties there are? But I don't
 remember if it says Daviess County, Indiana
 or just Daviess County.
- Q I suppose that's correct. There could be a Daviess County in some other state. You don't know what Daviess County it was, right?

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- A Right, sir.
 - Q When you commented to Mr. Young that you thought you observed a neoplasm in these Daviess County slides, what else did you and he say about that particular observation?
 - A I remember that Mr. Jim Young mentioned the fact I don't know where those -- well, that he wasn't sure of the origin of those slides or how those slides happened to be in the box.
- Q Go ahead.
 - A I don't remember if there was a report. I'm assuming there was a report, but I don't remember.
 - Q You mean a written report?
 - A A written report.
 - Q By a pathologist that related to those particular slides?
 - A That's correct. But I don't recall for sure.
 - Q There could have been or there could not have been as you sit here today; is that what you're saying?
 - A That is correct.
- 25 Q And if I asked you what was in the written

- report, could you tell me?
- A No, sir, I couldn't.
- Q The slides from I think you said Marion, do you mean Marion County Hospital?
- A The slides that I'm referring to were from -- I believe some were from Marion General Hospital. There may have even been some from Marion V.A. I think for some reason, I vaguely remember the pap smears as having the label Marion V.A., the cervical pap smears as being from Marion V.A.
- Q Let's focus for a moment on the slides from Marion General Hospital.
- A Yes, sir.
- Q How many of those were there?
- A How many? I don't recall the exact number, sir.
 - Q Let me ask you the same question about the Daviess County slides. How many of those were there?
 - A I would estimate four, five perhaps.
 - Q And you don't remember how many Marion

 General Hospital slides there were; is that

 what you're saying?
 - A That's correct. I do recall vaguely there

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Q

I were more Marion general slides than there 2 were of the --3 0 Daviess County? 4 Α -- of the Daviess County. 5 And what particular specimens were in the Q Marion slides? 6 7 A As I recall, they were the results of a bronchoscopy. Whether they were brushings 8 9 or washings or both, I don't recall the specifics. 10 Did you determine in some fashion that they 11 Q pertained to Mildred Wiley? Or could you I 12 13 should say by looking at the slides 14 themselves? 15 Α Yes. And I don't remember exactly how. assume I did it by comparing the number on 16 the slides with the number on the report. 17 18 And what report are you referring to? 0 19 The pathologist's report. Α 20 What was the name of the pathologist? Q 21 Α I don't recall that. 22 Q Do you remember the date of the report? 23 Α I don't recall that either.

What you recall looking at in conjunction

with those slides was a report by a

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pathologist	who	had	examined	the	same
slides, cor:	rect:	?			

- A That is correct, sir.
- Q And do you recall whether or not that examination was done in connection with or for a Dr. Patel, P-A-T-E L?
- A The name is familiar. And I believe that was the name of the clinician or the ordering physician for that particular procedure.
- Q Now, did you discuss your observations with Mr. Young on that occasion of those slides that we're now referring to?
- A I believe that I did, that I mentioned the fact that I thought or I agreed with the pathologist.
- Q Let me show you a pathology report, first of all, and ask you if that's the one you are referring to?
- A Yes, I thought there might have been more than one report in addition to this.

MR. WAGNER: Let's mark this as the next exhibit.

(Defendant's Exhibit(s) 4 marked for identification.)

- Q Doctor, I have asked the reporter to mark
 the document you and I were just discussing
 as Exhibit 4. And Exhibit 4 is at least one
 of the pathological reports you were
 referring to that you looked at when you
 were examining the slides from Marion
 General Hospital, correct?
 - A That is correct.

- Q And I believe you told me that from your examination of those slides, you agreed with what was set out in the report, which is Exhibit 4; is that correct?
- A That is correct, sir.
- Q Now, the slides you looked at on this occasion a few weeks ago when you and Mr. James Young were together that we've been talking about here thus far that were the Ball Memorial Hospital slides, I want to focus on those for just a moment.
- A Yes, sir.
- Now, you'll have to forgive me for asking this question again. But was that set of slides you looked at, were those slides that were slides from the autopsy as well as slides taken during Mildred Wiley's

lifetime, right?

- A That is correct.
- Q And with respect to the autopsy slides, was that a complete set or were some missing because of the missing paraffin blocks?
- A There were, to the best of my recollection, two complete sets. For whatever reason, I believe that one set -- well, let me back up. There couldn't have been two complete sets because in one set of slides, I believe there were -- I don't remember the exact number, but there was one more slide than the other set.

However, both of those sets contained the slides from the paraffin blocks in question, the "missing" paraffin blocks.

Did I make myself clear?

- Q Let me ask the question that way. So then that set of slides that we're talking about was complete in the sense that there were no slides missing because of the missing paraffin blocks?
- A That is correct. And, again, there were two sets.
- Q Two complete sets?

- A Two, with regard to those four paraffin blocks, yes, sir.
 - Q In June of 1991, which was the month during which Mildred Wiley was treated at Ball Memorial Hospital as you may recall, did you ever examine any of the pathological specimens that were obtained from Mildred Wiley during her lifetime while she was being treated?
 - A To the best of my recollection, no.
 - Q I want to ask you some questions about, for wont of a better word, the process, pathological processes that were available in the hospital at that time.
 - A Yes, sir.
 - Q Was electron microscopy something that could have been used at Ball Memorial to examine those pathological specimens in June of 1991?
 - A I don't recall because I know that we now have access to electron microscopy. I don't know in June of 1991.
 - Q You just don't recall one way or another?
 - A That is correct.
 - Q Was -- and I hope I don't mess this word up

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too badly -- immunoperoxidase tumor cell staining something that was done in June of 1991 at Ball Memorial?

- A I don't recall.
- Q You don't recall one way or another?
- A That's correct.
- Q Were genetic studies done on pathological specimens in that time frame?
- A Would you restate the question?
- Q Sure. In June of 1991, do you know whether or not genetic studies were done on pathological specimens at Ball Memorial?
- A I know that they would not have been done because they are not done now either.
- Q Same question with respect to flow cytometry.
- A My best guess is they would not have been done here in June of '91.
- Q Same question with respect to testing for the hormonal receptors, estrogen and progesterone?
- A Those would not have been done here in 1991.
- Q Do you recall whether or not in June of 1991 in examining pathological specimens, that they were examined to see whether or not

they	produced	surfact	ant?
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- A Do I recall if they were done or could have been done?
- Q Well, could it have been done at that time?
- A Not here.
- Q In June of 1991, was it possible for pathological specimens to be examined for the presence of elements of desmoplasia?
- A Elements of desmoplasia. In my interpretation of that term, desmoplasia refers to the proliferation of certain cells in response to tumor. If I understand your question correctly, that could have been determined microscopically with the routine light microscope.
- Q So that could have been done in June of 1991?
- A That is correct.
- Q Do you know whether or not that was done in fact with respect to any pathological specimens taken from Mildred Wiley while she was still alive?
- A Again I hope we're talking about the same thing. My understanding of what you're saying, elements of desmoplasia, it could

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have been done on the -- again, the tissue I believe she had a chest wall biopsy. And it could have been looked for on that.

- Q Do you know whether, in fact, it was?
- A I don't recall.
- Q Were any of Mildred Wiley's organs preserved after the autopsy?
- A Yes, sir.
- Q And are they still available someplace?
- A No, sir.
- Q They have all been destroyed?
 - A That's correct, sir, incinerated.
 - Q I believe, Doctor, that you testified that from your microscopic observations of the tissues taken at autopsy, that you determined that there was in fact a cancer in Mildred Wiley's pancreas?
 - A That is correct, sir.
 - Q And was that an adenocarcinoma?
- A That is correct, sir.
 - Q And that particular observation in fact for some reason did not make its way into the autopsy report we have established; is that correct?
- 25 A That's correct.

Q

Q And that was an omission of some kind? 1 2 Α That's correct. 3 Q When was it that you became aware of the fact that that particular observation by you 4 5 had been left out of the autopsy? The first time that I can recall was two 6 Α weeks ago; that when I reviewed the slides 7 in the presence of Mr. Jim Young, I remember 8 thinking this should have been noted in the 9 autopsy report. It was not. 10 So you passed that information along to 11 Q 12 Mr. Young. Was that in the second meeting 13 that you had with him that you described for 14 me earlier? 15 I believe it was the first. In the first one when you were looking at 16 Q the slides and including the Daviess County 17 slides and all that; is that right? 18 That is correct. 19 Α 20 And when you told Mr. Young that on that Q 21 occasion, can you tell us more completely 22 what you said and what he said in that respect? 23 24 Α Again, I don't have a verbatim recollection.

Sure, just the substance as you can recall

it.

- A That there appears to be adenocarcinoma in the pancreas and something to the effect:

 Oops, it should have been noted in the report.
- Q Anything other than that you can recall talking about with respect to that particular subject on that particular occasion?
- A We talked about the retrograde flow, lymphatic flow, and its implications in regard to the possibility of having metastases in the pancreas from the lung.
- Q What did you say about that possibility?
- A I said that is fairly common.
- Q That what is fairly common?
- A That it's fairly common for metastases to occur in or around the pancreas due to retrograde flow of lymph from the lung bringing tumor cells down into the region of the pancreas.
- Q Did you also discuss whether or not it was common for an adenocarcinoma that was primary to the pancreas to metastasize to the lung?

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1	A	I believe I did mention that.
2	Q	And you discussed that possibility as well;
3		is that right?
4	A	Yes, sir.
5	Q	Did you tell Mr. Young you had any
6		particular conclusion about that subject?
7	A	My conclusion at that time was that the most
8		probable event was the metastasis to the
9		pancreas from a lung primary.
10	Q	Did you discuss with Mr. Young the
11		possibility of metastases to the lung from
12		the pancreas?
13	A	Yes, I did.
14	Q	And that you could not rule that out?
15		MR. YOUNG: I will object to the
16		form of the question.
17	A	That I could not rule out on a histologic
18		basis, microscopic basis.
19	Q	When is the first time that you discussed
20		with Dr. Turner the observation that you
21		made that there was adenocarcinoma in
22		Mildred Wiley's pancreas?
23	A	I don't recall.
24	Q	But you recall telling her that?
25	A	I don't recall specifically.

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- Q Do you recall ever discussing the observation that you made that there was adenocarcinoma present in the pancreas of Mildred Wiley with Dr. Songer?
- A I don't believe that I ever discussed to my memory the autopsy findings directly with Dr. Songer. I don't recall any such instance where I did.
- When I look at the letters from Tom Young Q that we looked at earlier dated April 1993, the microscopic examination which took place that's reflected in the autopsy report of March of 1993, and some of the other documents and conversations that you have related to us that you've had with Nicki Turner, Dr. Turner; having thought about all that, wouldn't it have been likely that after you did the microscopic examination of the autopsy specimens in March of 1993, that you would have discussed with Dr. Turner what your observations were, especially the fact that you found an adenocarcinoma in the pancreas?
 - MR. YOUNG: I will object to the question. It's really not a question.

- Q Let me embellish the question with one more fact. And that is remembering also she had stressed with you the importance of the autopsy to the lawsuit that she was working on.
- A Uh-huh.

Q With all those facts and summarizing what I think is in the record at this point, my question is: Wouldn't it have been likely that you would have discussed with Dr. Turner that observation, about finding adenocarcinoma in the pancreas?

MR. YOUNG: I will object to the recitation and testimony of counsel. It's a compound question, and it's improper in form.

- Q You can answer, Doctor.
- A I think it is likely that I would have discussed that.
- After you reviewed the slides, looked at the slides microscopically along with Mr. James Young being present and had your conversations with him a few weeks ago as you described to me, following that particular meeting with Mr. Young, did you

have any conversations with Dr. Turner about 1 what your observations were with respect to 2 the slides that you looked at on that 3 occasion? 4 5 I did not. Α Now, you mentioned to me you had another 6 meeting and conversation with Mr. James 7 Young after that meeting, right? 8 That is correct. 9 Α And that occurred when, a couple weeks ago? 10 0 Today. 11 Today? 12 Yes. 13 A Before this deposition started? 14 Q 15 Α Yes. And that's the one I think Mr. Ohlemeyer 16 already covered with you; is that right? 17 Did you go over anything with him at this 18 19 meeting? 20 I did review a few slides, some slides of 21 lung tissue as I recall and pancreas. 22 Let's focus on that. What time did that Q 23 meeting start? 24 Mr. James Young was here about 12:10, 12:15, Α

something like that.

And was anyone else present besides you and 1 0 2 Mr. James Young? May I say we did go to lunch. 3 Α trying to remember if I looked at the slides 4 5 before lunch or after lunch. I believe I looked at the slides after lunch. 6 7 This deposition started about 1:30 or so, or Q a little past that, I guess, 1:45 p.m. or so 8 9 today. And so you would have looked at the 10 slides at about what time? 11 Α Oh, approximately we will say 1:00. 12 Q Now, what time did you and Mr. Young first 13 meet? Today? 14 Α Yes, sir. This is all about your meeting 15 Q 16 today. 17 Α Approximately 12:15 or 12:10. 18 And you went to lunch? Q 19 Α That's correct. 20 Q Is that the first thing you did? 21 He came in. We had a brief discussion. Α 22 What did you talk about? Q 23 Α I think we discussed my rights again as a 24 witness and or the definition of an expert

witness, and then I believe we went to

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1	·	lunch. And we came back, and I looked at
2		the slides.
3	Q	Now, how many slides did you look at?
4	A	I looked at actually at least four.
5	Q	And you looked at them microscopically, I
6		take it?
7	A	Yes, sir.
8	Q	What tissue or what specimens were in those
9		slides?
10	A	There was lung and pancreas. There was also
11		skeletal muscle in one of the slides. I
12		might want to remind or note that oftentimes
13		we put two different types of tissue on the
14		same slide, so the number of tissues may not
15		correspond exactly to the number of slides,
16		different tissues.
17	Q	So you recall looking at lung, pancreas,
18		skeletal, what else?
19	А	Lymph node.
20	Q	What else?
21	А	That's all I can recall.
22	Q	Why was it you were examining the slides on
23		this second occasion or those slides on this
24		second occasion?

I wanted to see if there was anthracotic

pigment in the stroma of the tumor of the lung. I also wanted to verify the fact that we're trying to determine where the lymph node was from and why there may have been a discrepancy between my statement of a peripancreatic lymph node grossly and what was present microscopically.

- Q What was that discrepancy in particular?
- A Grossly, I said there appears to be a peripancreatic lymph node that is involved with tumor as stated in the report. That may not be the exact words.
- Q You are referring to what was said in the autopsy report about the peripancreatic --
- A Lymph node, what I thought was a peripancreatic lymph node at that time as a gross examination. However, microscopically, I found out what I believe happened was this was, in fact, pancreatic tissue involved by tumor rather than a peripancreatic lymph node. I don't know if I'm making myself clear or not.
- Q Do I understand that from looking at the slides again, you determined that the tissue specimen you were looking at was really of

the pancreas, itself, as opposed to the peripancreatic lymph node; is that right?

- A Exactly, yes, sir.
- Now, Doctor, just so I understand what your testimony is, I'm going to give you Exhibit 1 which is the autopsy report as we have identified it earlier. And on page 3 at the top, it says, "There is a possible metastatic tumor in the peripancreatic lymph nodes identified."

And then over on page 4, under the heading Pancreas, there is a sentence here that says, "A peripancreatic lymph node is replaced by metastatic tumor with features similar to that described in the lung"; is that right?

- A That's what is written.
- Q Do I understand your testimony that those words are really in error; is that right?
- A That is correct, sir.
- Q And then the error is what?
- A The error is that this was not, in fact, a peripancreatic lymph node, but was the pancreas, which contained what I believed to be -- what I believe now to be metastatic

tumor.

Q That's the same adenocarcinoma that was present in the pancreas itself that we have discussed earlier here in your deposition?

- A Yes, sir.
- Q Which could have been, in your opinion, either a metastases to the pancreas from the lung or could have been the primary that metastasized to the lung?

 $$\operatorname{MR}.$$ YOUNG: I will object to the form of the question.

- A My opinion is that it is more probably a metastasis to the pancreas from the lung.

 That is my opinion.
- You told me earlier you can't rule out the possibility or the probability that there was a primary in the pancreas itself which metastasized to the lung; is that correct?

MR. YOUNG: I object to the form of the question. I think it misstates his prior testimony.

- A I can't rule out the possibility.
- Q Let me go back again now to this occasion we were discussing when you were looking at these slides today --

A Yes, sir.

- Q -- with Mr. James Young. He had brought these slides to you, and I asked you why you were looking at them. And I believe you said that you wanted to look at the lung tissue. Tell me once again, you wanted to look at it for what purpose?
- A I wanted to see if there was anthracotic pigment in the stroma of the tumor.
- Q And what was it that prompted you to want to examine the slide for that purpose?
- A I recalled a statement made by Carlos,

 C-A-R-L-O-S, Bedrossian, B-E-D-R-O-S-S-I-A-N

 in a seminar I attended I believe in 1995,

 during which he stated that if one sees

 anthracotic pigment within the stroma of the

 tumor, that was a good indication that the

 tumor was primary. In the lung we're

 talking about.
- Q And why would that be so?
- A I'm trying to remember Dr. Bedrossian's reasoning for that. I can't address that as to his reasons. I don't recall his specific reasons.
- Q What observations did you make in that

respect when you looked at the lung tissue specimen today?

A I was unable to demonstrate anthracotic

Q Which would be then a negative in the sense of showing that she had a primary tumor in

pigment within the stroma of the tumor.

MR. YOUNG: I will object to the form of that question because I think it overstates and misstates the testimony.

O Correct?

the lung?

- A That is correct, based on that criteria, yes, sir.
- Now, you also wanted to verify something -my notes are a little sketchy -- about where the lymph node was from or something?
- A That is correct.
- Q Tell me what that was all about.
- A Because I don't have or did not retain the block list, I wasn't sure where the lymph node came from, the lymph node that was in the set of slides that Mr. Jim Young had.

Looking at the slide, however, I determined the fact that this lymph node was in contiguity with the lung tissue and was

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- Q So you determined that the particular slide you were examining was tissue from the hilar lymph node; is that what you are telling us?
- A Correct.
- Q And you observed tumor in it?
- O A Yes.
 - Q And was there an adenocarcinoma?
- l2 A Yes, sir.
- .3 Q Or could you tell?
 - A It was an adenocarcinoma, sir.
 - Q What did you conclude from that, if anything?
 - A That to me was a criterion in favor of being a lung primary. The fact there was tumor in a hilar lymph node would be more probable for a lung primary than for a pancreatic primary.
 - Q What else could produce a cancer in a hilar lymph node other than a lung primary?

 $$\operatorname{MR}.$$ YOUNG: I will object to the form of the question.

1	Q	First of all, a pancreatic primary could,
2		correct?
3		MR. YOUNG: Object to the form of
4		the question.
5	A	It is a possibility.
6	Q	What else could have produced it?
7	A	Actually, a cancer from adenocarcinoma from
8		another organ besides pancreas.
9	Q	Actually, an adenocarcinoma from almost any
10		other organ could have produced it; isn't
11		that correct?
12	A	Could have.
13	Q	Breast?
14	A	Rarely.
15	Q	But it could have?
16	A	Could have.
17	Q	Do you know, Doctor, as you sit here today
18		what the statistics are with respect to
19		whether or not it's more common for a
20		primary adenocarcinoma of the pancreas to
21		metastasize to the lung as opposed to a
22		primary adenocarcinoma of the lung
23		metastasizing to the pancreas?
24		MR. YOUNG: I will object. That's
25		been asked and answered.

- A I don't remember the statistics.
- And if those statistics were present in one of the works on cancer that you would deem to be authoritative, would that have some impact, do you believe, upon your opinion as to whether or not Mildred Wiley had a pancreatic primary cancer?
- A It would be a factor.
- Q What else did you and Mr. Young discuss during this meeting that you had today that we have not touched upon up to this point?
- A Nothing that I haven't already mentioned that I can recall.
- Q I think when you were discussing the subject of the autopsy with Mr. Ohlemeyer, you and he talked about the fact that autopsies are done for different purposes.
- A Yes, sir.
- Q Doctor, wasn't it your understanding that the autopsy of Mildred Wiley was done for the purpose of being used in the civil suit against the tobacco companies that Nicki Turner was describing to you?

MR. YOUNG: I will object to the form of the question.

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A I believe that association came up during my conversations with Dr. Turner at or shortly after the autopsy.

MR. WAGNER: Off the record.

(Discussion off the record).

- Q Let me show you, Doctor, Exhibits 1 and 3 again just for a moment.
- A Yes, sir.
- Q Exhibit 1 being the final autopsy, a more complete autopsy report, even though it's erroneously entitled Preliminary Autopsy Report, correct?
- A That's correct.
 - Q And Exhibit 3 being the preliminary, the real preliminary autopsy report; is that correct?
 - A That is correct, yes, sir.
 - Q Let's look at 3 here for just a moment.

 Exhibit 3 says in part, "Permission for the autopsy is granted by the deceased's husband, Mr. Wiley, and is restricted to the chest and abdomen." Do you see that?
 - A Yes, sir.
 - Q And Exhibit 1 says on page 3, "Due to autopsy restrictions obtained after

telephone	COL	versat	ion	with	the	decea	sed's
husband,	the	brain	was	not	exami	ned."	Do
vou see t	hat. 7	•					

- A Yes, sir.
- Q Those two statements appear to be not the same and somewhat inconsistent to me. Do you know why that is?

 $$\operatorname{MR}.$$ YOUNG: I will object whether they are inconsistent.

- Q Withdraw the question. Do you find those two statements to be inconsistent?
- A I do not.
- Q And why not?
- A Because I think that the autopsy involves typically brain or cranial contents, chest, and abdomen. And in one, it says the brain has been excluded. The other it says it's been restricted to the chest and abdomen.
- Q But if only the brain was not to be examined, then, for example, the breasts would have been examined, right?
- A That is true. This did not exclude examination of the breasts.
- Q Does the statement, in your opinion,
 "...restricted to the chest and abdomen,"

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1		restrict examination or keep from having
2		examination at autopsy of the breast?
3	A	No, it does not.
4	Q	If we put those two statements together, can
5		you tell us why the breasts were not
6		sectioned at autopsy?
7		MR. YOUNG: I will object. That's
8		been asked and answered. A long discussion
9		was had about that earlier in the day.
10	A	Because it is not my usual practice to go
11		beyond palpation of the breasts.
12	Q	Just as a matter of routine, you don't
13		section and take specimens from the breasts;
14		is that correct?
15	А	That is correct, sir.
16	Q	Would that be your routine in the case of a
17		patient who had an elevated marker that
18		indicated the presence of breast cancer and
19		who was treated for breast cancer?
20		MR. YOUNG: Objection, asked and
21		answered. That's exactly what Mr. Ohlemeyer
22		covered already.
23	A	I would do it upon the specific request of
24		the physician, of the clinician.

Q I asked a little different question. Would

your routine of not examining breast tissue be altered and would you in fact exam breast tissue if you had a patient who had an elevated marker for breast cancer and who had been treated for breast cancer?

MR. YOUNG: Same objection.

MR. WAGNER: That was the question I asked.

MR. YOUNG: That's the same one Mr. Ohlemeyer asked too.

MR. WAGNER: But I'm asking it better.

- I probably would have examined the breasts Α if I had that information available to me.
- With respect to what you did during the Q autopsy and with respect to the gross examination -- and I realize you have a memory that tells you what you routinely do and what you may have done during this particular autopsy of Mildred Wiley, right?
- Yes.
- That's the gist of it as I understood your answer to Mr. Ohlemeyer's question.

Do you routinely, along with the resident in a case such as this where the

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resident was performing the autopsy -- do you together with him microscopically examine the tissue?

MR. YOUNG: Objection, asked and answered.

- A That is correct. Yes, we do.
- Q And do you routinely also grossly examine the body and the parts taken from the body?

 MR. YOUNG: Objection, asked and answered.
- Q Or is that something that you would stand off to one side and watch the resident do?
- A Let me clarify that. We would examine together the gross findings, usually at the completion of the autopsy, the gross organs I should say.
- Q During the autopsy of Mildred Wiley's body, was anyone else present at any time, either to your personal knowledge or if you have ever heard that anyone else was present?

 Can you tell us that? That's a really terrible question. Do you know what I'm asking?
- A I know the diener or assistant was present,

 Brad. I'm assuming -- can I withdraw my

answer?

Q Sure. Let me withdraw the question, so the record is a lot more clear.

Do you know or have you ever heard whether or not anyone else was present during Mildred Wiley's autopsy other than Dr. Wolaniuk and you?

- A The morgue assistant. And I do not remember the presence of any other persons at that time.
- Q The morgue assistant is routinely present; is that a correct statement?
- A Yes, sir.
- Q Does a morgue assistant do anything during the autopsy that contributes to the autopsy?
- A Yes, sir. He actually removes most of the organs from the body cavities and then hands them to the resident who makes the weights, makes the initial gross examinations, records any abnormalities, and then saves these organs for me to review or other staff as the case maybe.
- Q Do you know who had the conversations with Mrs. Wiley's husband that restricted the autopsy?

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A	I did not.	I be	lieve	Dr.	Wolaniu	ık	let m	e
	say he obta	ined	this i	nfor	mation	someh	ow.	Ι
	don't know	if he	obtai	ned	it dire	ctly	from	
	Mr. Wiley o	r thr	ough a	n in	termedi	ary.	I do	
	not know							

- O Do you know whether or not or have you ever heard that those restrictions were conveyed to Dr. Wolaniuk by Dr. Turner?
- A I don't know that for a fact.
- Q Have you ever heard that that was the case?
- A Not that I recall.
- Now, Exhibit 3 -- and I think I know the answer. But Exhibit 3, which is the preliminary autopsy report, says, "A section of lumbar spine will be examined microscopically to determine the presence or absence of bone metastases."

Do I understand your testimony that in fact was done?

- A It was done.
- Q And there are actually pathological specimens?
- A There are slides, yes, sir.
- Q Do you agree, Doctor that among women in the United States, breast cancer is the most

common cancer?

- A To the best of my knowledge, yes, sir.
- Q Do you agree, Doctor, fibrocystic breast disease is an established risk factor for breast cancer?
- A Some forms are.
- Q What forms are?
- A Those in which there is hyperplasia within the ducts, which is a form of fibrocystic.

By the way, I don't mean to be pedantic. The term "fibrocystic change" is in the breast rather than fibrocystic disease because it may be a number of conditions.

But duct hyperplasia is associated with an increase. Without what we call atypia, it is associated with a slightly increased risk of 1.5 to 2 times in normal women.

- Q I want to ask you a question about sputum cytology for a moment. Is that something you are familiar with?
- A I'm familiar with it. I don't claim great expertise in that area in general.
- Q Let me ask you whether or not you agree with this statement; that once disease is

suspected, a simple effective method of obtaining a positive diagnosis of lung cancer is sputum cytology. Would you agree with that statement?

MR. YOUNG: Let me interpose an objection. If you're going to read various parts of literature to the witness, maybe we can have a continuing objection so I don't keep interrupting you. I think it's improper to read these statements out of context and ask for the doctor to comment on them.

MR. WAGNER: You may have a continuing objection.

- You understand, Doctor, I'm just reading to you something. And I want to know whether you, in your professional opinion, agree or disagree with that particular statement.
- A Yes, sir. Would you repeat it?
- Q Once the disease is suspected, a simple and effective method of obtaining a positive diagnosis of lung cancer is sputum cytology?
- A I would qualify it. I don't think it's always simple, but it is effective.
- Q With respect to the subject of bronchoscopy,

Doctor,	would	you agr	ee that	the	diagnost	tic
yield o	of fiber	coptic b	ronchos	сору	with	
cytolog	y brusl	nings an	d biops	y for	histol	⊃gy
when a	visible	e lesion	is ide	ntifi	ed is	
higher	than 90) percen	t?			

- A That I consider beyond the realm of my expertise.
- Q Here is a statistic which you may or may not be familiar with. If you're not, you can tell me. Tell me whether or not you agree with it. The statement is that endobronchial metastases are seen in approximately 28 percent of patients?
- A I don't have enough information to say yea or nay.
- Q Doctor, isn't it a fact that breast cancer is a tumor most often associated with metastatic bone disease?
- A Yes.
- Q Would you agree, Doctor, that physical examinations of the breast, mammograms, can fail to find small tumors present in the breast?
- A That is correct, sir.
- Q Would you agree the two organs from which

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cancer is mostly commonly metastasized to the lung are the breast and the pancreas?

- A I don't know.
- You are familiar with or at least generally familiar with the medical records pertaining to Mildred Wiley's treatment at Ball Memorial Hospital, I take it, correct?
- A Yes, sir.
- Q She was a patient who presented with multiple sites of cancer? Would you agree with that?
- A I don't recall that specific detail. My impression was she presented with back pain initially anyway.
- Q Do you recall the bone scan that was done?
- A Let's see. I believe I remember an MRI, but I don't remember a bone scan.
- Q Do chest wall lesions commonly occur with breast cancer?
- A I believe they do, sir.
- Q And would a chest wall lesion be one and the same thing as the lesion that was excised from Mildred Wiley's left chest wall?
- A Yes, sir.

MR. WAGNER: Off the record.

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(Discussion off the record).
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 2
    DIRECT EXAMINATION (continuing)
    BY MR. OHLEMEYER:
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 4
           Doctor, do you know anything about the
           possibility of the frequency with which
 5
           breast cancers metastasize to the pancreas
 6
 7
           or pancreatic cancers metastasize to the
 8
           breast?
 9
           I do not.
       Α
                     MR. WAGNER: Let us take a brief
10
           recess, and we will see if we can wind
11
           things up here.
12
                 (A brief recess was taken.)
13
                                  I have no further
                     MR. WAGNER:
14
15
           questions.
16
                    MR. OHLEMEYER: Nor do I, Doctor.
17
           Thank you.
    CROSS-EXAMINATION
18
    BY MR. YOUNG:
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           I want to cover two things with you, Doctor.
20
21
           When we met this morning and you reviewed
           some of these slides, that was not at my
22
23
           request to do that, was it?
24
       A
           That is correct. It was not at your
25
           request.
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- Q And when we met before so that you could look at the slides, you wanted to be able to look at the slides so you would be prepared for the deposition today?
 - A Yes, sir.

- And when you were talking about the cytology slide from Daviess County, I think your testimony was that I was not aware of where that was from.
- A At that time.
 - Q And in fact I have never made any representation to you that that was in fact Mildred Wiley's slide, have I?
 - A Other than the fact that the slide was with the other slides.
 - Q The only representation is the physical location of the slide being in the box?
 - A Yes, sir.

REDIRECT EXAMINATION

20 BY MR. WAGNER:

The only thing I understand, Doctor, in that respect is when Mr. Young brought the slides to you, the Daviess County Hospital slides were all together with all the other slides that related to Mildred Wiley; is that

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STATE OF INDIANA)

COUNTY OF MARION)

I, Thomas A. Richardson, a Notary Public in and for said county and state, do hereby certify that the deponent herein was by me first duly sworn to tell the truth, the whole truth, and nothing but the truth in the aforementioned matter;

That the foregoing deposition was taken on behalf of the defendants; that said deposition was taken at the time and place heretofore mentioned between the hours of 8:00 a.m. and 6:00 p.m.;

That said deposition was taken down in stenograph notes and afterwards reduced to typewriting under my direction; and that the typewritten transcript is a true record of the testimony given by said deponent;

And thereafter presented to said witness for signature; that this certificate does not purport to acknowledge or verify the signature hereto of the deponent.

I do further certify that I am a disinterested person in this cause of action; that I am not a relative of the attorneys for any of the parties.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my notarial seal this_____day of_____, 1997.

THOMAS A. RICHARDSON, Notary Public

My commission expires: May 8, 2001

Job No. 6615